### IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

Biogen Idec Inc. 14 Cambridge Center Cambridge, MA 02142	)
Plaintiff,	)
<b>v.</b>	)
HON. JON W. DUDAS Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office Office of General Counsel, United States Patent and Trademark Office P.O. Box 15667, Arlington, VA 22215 Madison Building East, Rm. 10B20 600 Dulany Street, Alexandria, VA 22314	))))))))))
Defendant.	ز_

### **COMPLAINT**

Plaintiff, Biogen Idec Inc., for its complaint against the Honorable Jon. W. Dudas, states as follows:

### NATURE OF THE ACTION

- This is an action by the assignee of United States Patent No. 7,427,403 ("the '403 1. patent") seeking judgment, pursuant to 35 U.S.C. § 154(b)(4)(A), that the patent term adjustment for the '403 patent be changed from 1009 days to at least 1221 days.
- This action arises under 35 U.S.C. § 154 and the Administrative Procedures Act, 2. 5 U.S.C. §§ 701-706.

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### JURISDICTION AND VENUE

- This Court has jurisdiction to hear this action and is authorized to issue the relief 3. sought pursuant to 28 U.S.C. §§ 1331, 1338(a), and 1361, 35 U.S.C. § 154(b)(4)(A) and 5 U.S.C. §§ 701-706.
  - Venue is proper in this district by virtue of 35 U.S.C. § 154(b)(4)(A). 4.
  - This Complaint is timely filed in accordance with 35 U.S.C. § 154(b)(4)(A). 5.

### THE PARTIES

- Plaintiff Biogen Idec Inc. is a corporation organized under the laws of Delaware, 6. having a principal place of business at 14 Cambridge Center, Cambridge, MA 02142.
- Defendant Jon W. Dudas is the Under Secretary of Commerce for Intellectual 7. Property and Director of the United States Patent and Trademark Office ("PTO"), acting in his official capacity. The Director is the head of the agency, charged by statute with providing management supervision for the PTO and for the issuance of patents. The Director is the official responsible for determining the period of patent term adjustment under 35 U.S.C. § 154.

### BACKGROUND

- Jeffrey Browning, Christopher Benjamin, and Paula Hochman are the inventors of 8. U.S. patent application number 10/077,406 ("the '406 application") entitled "Methods for Inhibiting Lymphotoxin & Receptor Signalling," which was issued as the '403 patent on September 23, 2008. The '403 patent claims methods of inhibiting lymphotoxin  $\beta$  receptor signalling in patients with autoimmune disorders. The '403 patent is attached as Exhibit A.
- Plaintiff Biogen Idec Inc. is the assignee of the '403 patent, as evidenced by the 9. assignment documents recorded in the PTO.

- 10. Section 154 of title 35 of the United States Code requires that the Director of the PTO grant a patent term adjustment in accordance with the provisions of section 154(b). Specifically, 35 U.S.C. § 154(b)(3)(D) states that "[t]he Director shall proceed to grant the patent after completion of the Director's determination of a patent term adjustment under the procedures established under this subsection, notwithstanding any appeal taken by the applicant of such determination."
- In determining patent term adjustment, the Director is required to extend the term of a patent for a period equal to the total number of days attributable to delay by the PTO under 35 U.S.C. § 154(b)(1), as limited by any overlapping periods of delay by the PTO as specified under 35 U.S.C. § 154(b)(2)(A), any disclaimer of patent term by the applicant under 35 U.S.C. § 154(b)(2)(B), and any delay attributable to the applicant under 35 U.S.C. § 154(b)(2)(C).
- 12. The Director made a determination of patent term adjustment pursuant to 35 U.S.C. § 154(b)(3) and issued the '403 patent reflecting that determination.
- 13. Plaintiff Biogen Idec Inc. filed an Application for Reconsideration of Patent Term Adjustment on March 17, 2009, accompanied by a petition to waive the two month deadline for filing such an application.
- 14. 35 U.S.C. § 154(b)(4)(A) provides that "[a]n applicant dissatisfied with a determination made by the Director under paragraph (3) shall have remedy by a civil action against the Director filed in the United States District Court for the District of Columbia within 180 days after grant of the patent. Chapter 7 of title 5 shall apply to such an action."

### **CLAIM FOR RELIEF**

- 15. The allegations of paragraphs 1-14 are incorporated in this claim for relief as if fully set forth.
- 16. The patent term adjustment for the '403 patent, as determined by the Director under 35 U.S.C. § 154(b) and indicated on the face of the '403 patent, is 1009 days. (See Ex. A at 1.) The determination of this 1009 day patent term adjustment is in error because the PTO (1) failed to properly account for the delays that occurred before the date that was three years after the actual filing date of the '406 application, pursuant to 35 U.S.C. § 154(b)(1)(A); and (2) failed to properly calculate the delays caused by the unintentional abandonment of the '406 application. The correct patent term adjustment for the '403 patent is at least 1221 days.
- 17. The '406 application was filed on February 15, 2002, and issued as the '403 patent on September 23, 2008.
- 18. Under 35 U.S.C. § 154(b)(1)(A), the number of days attributable to PTO examination delay ("A Delay") is 881 days.
- 19. Under 35 U.S.C. § 154(b)(1)(B), the number of days between the date that was three years after the actual filing date of the '406 application (i.e., February 15, 2005) and the date that the '403 patent was granted (i.e., September 23, 2008) ("B Delay") is 1316 days.
- 20. Under 35 U.S.C. § 154(b)(2)(C), the number of days of applicant delay is 767 days.
- 21. 35 U.S.C. § 154(b)(2)(A) provides that "to the extent that periods of delay attributable to grounds specified in paragraph (b)(1) overlap, the period of any adjustment shall not exceed the actual number of days the issuance of the patent was delayed." The overlap between the "A Delay" period and the "B Delay" period in the prosecution of the '403 patent

(i.e., the period of "A Delay" that occurred between February 15, 2005, and September 23, 2008) is 209 days.

- 22. The '403 patent is not subject to a disclaimer of term. Thus, the period of patent term adjustment is not limited under 35 U.S.C. § 154(b)(2)(B).
- 23. Accordingly, the correct patent term adjustment under 35 U.S.C. § 154(b)(1) and (2) is the sum of the "A Delay" and "B Delay" (881 + 1316= 2197 days) reduced by the number of days of "A delay" that occurred more than three years after the filing date of the '406 application (209 days) and reduced by the number of days of applicant delay (767 days) for a net adjustment of 1221 days.
- 24. The Director erred in calculating the amount of delay created by an unintentional abandonment of the '406 application on February 11, 2003. The correct number of days of Applicant delay due to this abandonment is 527 days, not the 67 days calculated by the Patent Office in a Decision mailed August 14, 2008 (attached as Exhibit B).
- 25. The Director also erred in the determination of patent term adjustment by treating the entire period of PTO examination delay, and not only the period of PTO examination delay that occurred after the date that was three years after the actual filing date of the '406 application, as the period of overlap between the "A Delay" and the "B Delay." Thus, the Director erroneously determined that the net patent term adjustment should be limited under 35 U.S.C. § 154(b)(2)(A) by 881 days, rather than the correct number of 209 days.
- 26. In Wyeth v. Dudas, 580 F. Supp. 2d 138 (D.D.C. 2008), this Court explained the proper construction of the provisions of 35 U.S.C. § 154(b) for determining patent term adjustment. In accordance with Wyeth, the patent term adjustment for the '403 patent is properly determined to be 1221 days, as set forth above.

27. The Director's determination that the '403 patent is entitled to only 1009 days of patent term adjustment is arbitrary, capricious, an abuse of discretion, or otherwise not in accordance with the law and in excess of statutory jurisdiction, authority, or limitation.

### PRAYER FOR RELIEF

Wherefore, Plaintiff demands judgment against Defendant and respectfully requests that this Court enter Orders:

- A. Changing the period of patent term adjustment for the '403 patent term from 1009 days to 1221 days and requiring the Director to extend the term of the '403 patent to reflect the 1221 day patent term adjustment.
- B. Granting such other and future relief as the nature of the case may admit or require and as may be just and equitable.

Dated: 192009

Respectfully submitted,

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Attorneys for Plaintiff Biogen Idec Inc.

**EXHIBIT A** 



# (12) United States Patent Browning et al.

(10) Patent No.:

US 7,427,403 B2

(45) Date of Patent:

Sep. 23, 2008

### (54) METHODS FOR INHIBITING LYMPHOTOXIN β RECEPTOR SIGNALLING

(75) Inventors: Jeffrey L. Browning, Brookline, MA (US), Christopher D. Benjamin,

Beverly, MA (US); Paula S. Hochman,

Newton, MA (US)

(73) Assignee: Biogen Idec MA Inc., Cambridge, MA

(US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 1009 days.

(21) Appl. No.: 10/077,406

(22) Filed: Feb. 15, 2002

(65) Prior Publication Data

US 2005/0037003 A1 Feb. 17, 2005

### Related U.S. Application Data

(60) Division of application No. 09/000,166, filed as application No. PCT/US96/12010 on Jul. 19, 1996, now Pat. No. 6,403,087, which is a continuation-in-part of application No. 08/505,606, filed on Jul. 21, 1995, now Pat. No. 5,925,351.

(51) Int. Cl.

A61K 38/16 (2006.01)

A61K 39/395 (2006.01)

C07K 14/715 (2006.01)

C07K 16/28 (2006.01)

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Primary Examiner—Eileen B. O'Hara (74) Attorney, Agent, or Firm—Lahive & Cockfield, LLP; Amy E. Mandragouras, Esq.; Megan E. Williams, Esq.

### (57) ABSTRACT

This invention relates to compositions and methods comprising "lymphotoxin-β receptor blocking agents", which block lymphotoxin-β receptor signalling. Lymphotoxin-β receptor blocking agents are useful for treating lymphocyte-mediated immunological diseases, and more particularly, for inhibiting Th1 cell-mediated immune responses. This invention relates to soluble forms of the lymphotoxin-β receptor extracellular domain that act as lymphotoxin-β receptor blocking agents. This invention also relates to the use of antibodies directed against either the lymphotoxin-β receptor or its ligand, surface lymphotoxin, that act as lymphotoxin-β receptor blocking agents. A novel screening method for selecting soluble receptors, antibodies and other agents that block LT-β receptor signalling is provided.

### 74 Claims, 6 Drawing Sheets

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FIG. 1

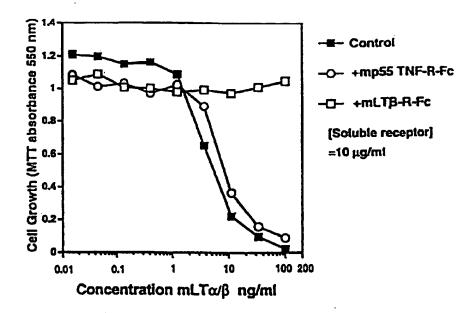
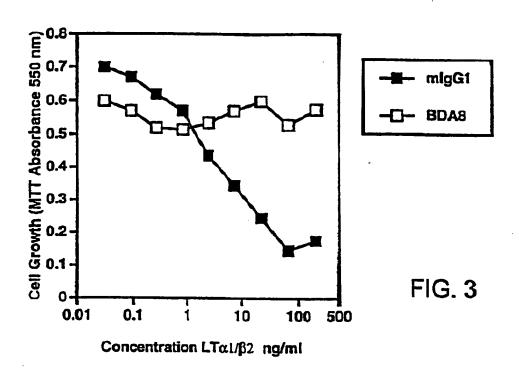


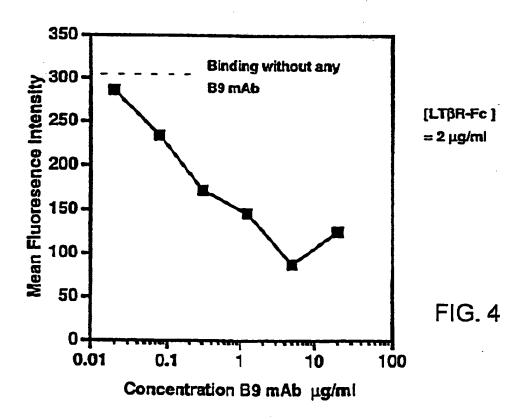
FIG. 2

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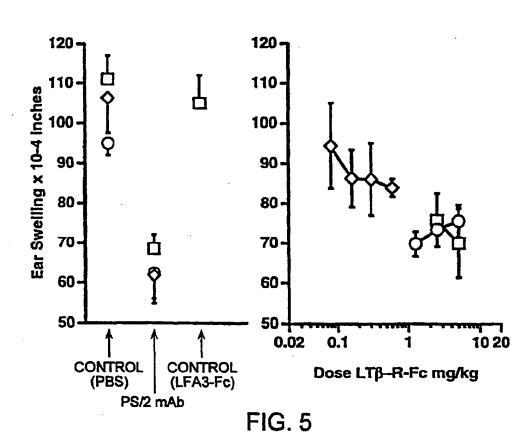


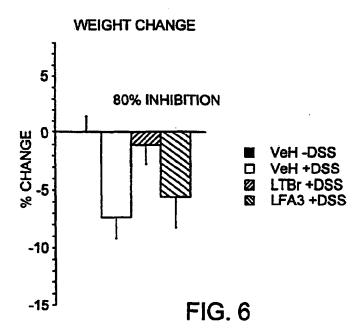


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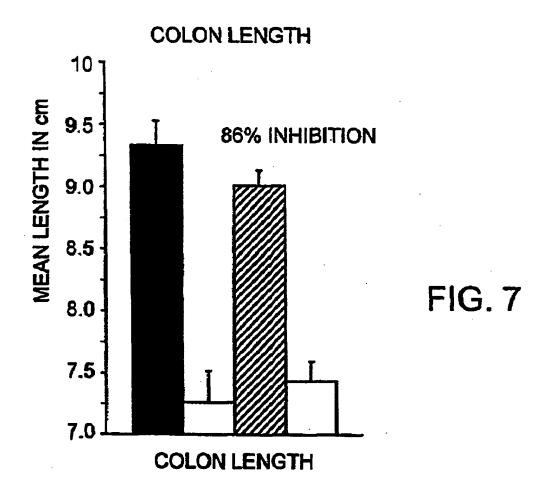




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# Protective Effect of mLTβR-Ig in the CD45RB high Murine Model of IBD

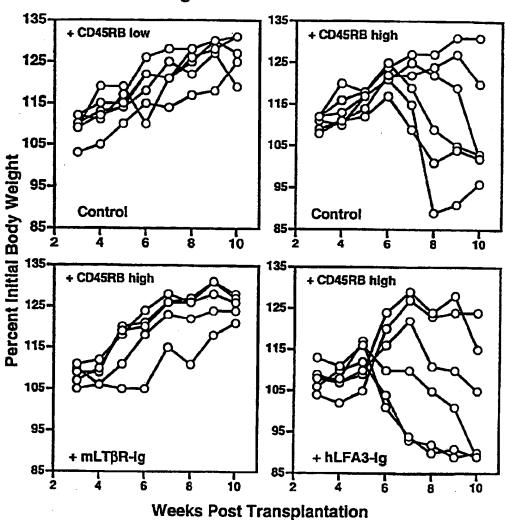


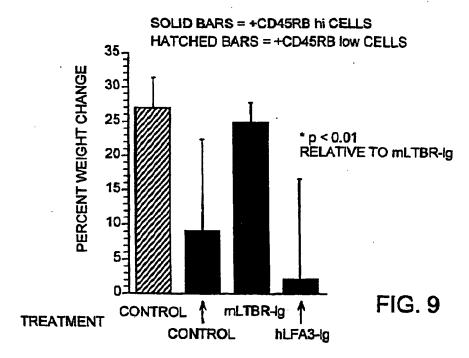
FIG. 8

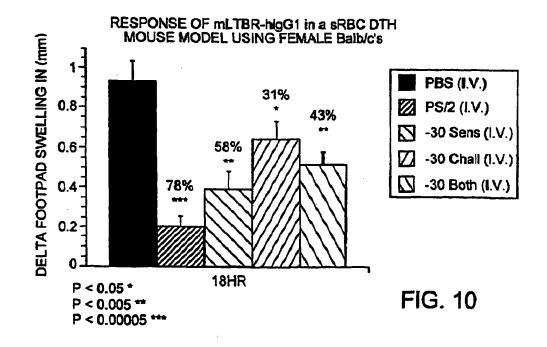
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EFFECT OF mLTBR-Ig ON MOUSE BODY WEIGHT AT 10 WEEKS POST TRANSPLANTATION OF CD45RBhi CELLS





### METHODS FOR INHIBITING LYMPHOTOXIN B RECEPTOR SIGNALLING

#### RELATED APPLICATIONS

This is a divisional of U.S. Ser. No. 09/000,166, filed on Jun. 8, 1998, now U.S. Pat. No. 6,403,087, which is the U.S. national stage entry of PCT/US96/12010, filed on Jul. 19, 1996, which is a continuation-in-part application of U.S. Ser. 5,925,351. The entire disclosure of the aforesaid patent applications are incorporated herein by reference.

### TECHNICAL FIELD OF THE INVENTION

This invention relates to compositions and methods comprising "lymphotoxin-β receptor blocking agents", which block lymphotoxin-β receptor signalling. Lymphotoxin-β receptor blocking agents are useful for treating lymphocytemediated immunological diseases, and more particularly, for 20 inhibiting Th1 cell-mediated immune responses. This invention relates to soluble forms of the lymphotoxin-β receptor extracellular domain that act as lymphotoxin-B receptor blocking agents. This it invention also relates to the use of antibodies directed against either the lymphotoxin-β receptor 25 or its ligand, surface lymphotoxin, that act as lymphotoxin-B receptor blocking agents. A novel screening method for selecting soluble receptors, antibodies and other agents that block LT-β receptor signalling is provided.

#### BACKGROUND OF THE INVENTION

The pattern of cytokines released at the onset of an immune challenge can affect the subsequent choice of which immune effector pathways are activated. The choice between immune effector mechanisms is mediated by CD4-positive helper T lymphocytes (Thelper cells or Th cells). Th cells interact with antigen-presenting cells (APCs), which display peptide fragments of processed foreign antigen in association with MHC class II molecules on their surfaces. Th cells are activated when they recognize particular epitopes of a foreign antigen displayed on the appropriate APC surface for which the Th cells express a specific receptor. Activated Th cells, in turn, secrete cytokines (lymphokines) which activate appropriate immune effector mechanisms.

Th cells can activate diverse effector mechanisms, including killer T cell activation B cell antibody production and macrophage activation. The choice between effector mechanisms is mediated largely by which cytokines are produced by 50 the activated Th cells.

Th cells can be divided into three subgroups based on their cytokine secretion patterns (Fitch et al., Ann. Rev. Immunol., 11, pp. 29-48 (1993)). These subgroups are called Th0, Th1 and Th2. In the mouse, non-stimulated "naive" T helper cells. 55 produce IL-2. Short term stimulation leads to Th0 precursor cells, which produce a wide range of cytokines including IFN-γ, IL-2, IL-4, IL-5 and IL-10. Chronically-stimulated Th0 cells can differentiate into either Th1 or Th2 cell types, whereupon the cytokine expression pattern changes.

Some cytokines are released by both Th1 and Th2 cells (e.g., IL-3, GM-CSF and TNF). Other cytokines are made exclusively by one or the other Th cell subgroup. The specialized effects of T helper cell subgroups were first recognized in mouse. A similar subdivision of T helper cells also exists in 65 humans (Romagnani et al., Ann. Rev. Immunol., 12, pp. 227-57 (1994)).

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Th1 cells produce LT-a, IL-2 and IFN-y. In humans, the Th1 pattern of cytokine secretion has been generally associated with cellular immunity and resistance to infection. The Th1 cytokines tend to activate macrophages and certain inflammatory responses such as Type IV "delayed type" hypersensitivity (see below). Th1 cytokines play an important role in cellular rejection of tissue grafts and organ transplants.

Th2 cells produce the cytokines IL-4, IL-5, IL-6 and IL-10. Th2 cytokines increase eosinophil and mast cell production No. 08/505,606 filed on Jul. 21, 1995, now U.S. Pat. No. 10 and promote the full expansion and maturation of B cells (Howard et al., "T cell-derived cytokines and their receptors", Fundamental Immunology, 3d ed., Raven Press, New York (1993)). Th2 cytokines also enhance antibody production, including IgE antibodies associated with allergic responses 15 and anti-graft antibodies. Th2 cells may also participate in immune suppression and tolerance to persistent antigens.

Th1- and Th2-associated cytokines play a role in certain hypersensitivity responses-inappropriate or disproportionate immune responses evoked upon contact with a previously encountered antigen. There are four recognized types of hypersensitivity (Roitt et al., Immunology, pp. 19.1-22.12 (Mosby-Year Book Europe Ltd., 3d ed. 1993)).

Type I "immediate hypersensitivity" involves allergen-induced Th2 cell activation and Th2 cytokine release. The Th2 cytokine IL-4 stimulates B cells to undergo isotype switching to produce IgE, which activates mast cells to produce acute inflammatory reactions such as those which lead to eczema, asthma and rhinitis.

Types II and III hypersensitivity are caused by IgG and IgM 30 antibodies directed against cell surface or specific tissue antigens (Type II) or soluble serum antigens (Type III). These types of hypersensitivity reactions are not thought to be mediated by Th cells.

Type IV "delayed type" hypersensitivity (DTH) is Th1 cell mediated. DTH reactions take more than 12 hours to develop and are referred to as "cell-mediated" because they can be transferred between mice by transferring Th1 cells but not serum alone. Type IV DTH responses are generally classified into three types: contact, tuberculin-type and granulomatous hypersensitivity.

Many cell-mediated responses that can cause disease are inducible in healthy mice by transferring lymphocytes from a diseased mouse (e.g., insulin-dependent diabetes and experimental autoimmune encephalitis). This feature distinguishes Type IV DTH from the other three types of hypersensitivity, which are humoral immune responses caused primarily by antibodies which can be transferred in cell-free serum.

T helper cells also participate in the regulation of de novo immunoglobulin isotype switching. Different Th subsets may influence the relative proportion of immunoglobulins of a given isotype produced in response to immune challenge. For example, the Th2 cytokine IL-4 can switch activated B cells to the IgG1 isotype and suppress other isotypes. As discussed above, IL-4 also activates IgE overproduction in type I hypersensitivity reactions. The Th2 cytokine IL-5 induces the IgA isotype. These Th2 cytokine effects on isotype switching are counter-balanced by IFN-y produced by Th1 cells.

The differential patterns of cytokines secreted by Th1 and Th2 cells appear to direct a response towards different 60 immune effector mechanisms. The switch that activates either a cell-mediated or humoral effector mechanism is sensitized by cross-suppression between Th1 and Th2 cells: IFN-y produced by Th1 cells inhibits Th2 cell proliferation and Th2 cell-secreted IL-10 appears to reduce cytokine secretion from

Depending on the relative affinities of the cytokines for their molecular targets, the Th1 and Th2 negative regulatory

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circuits may amplify the effects of small concentration differences between Th1 and Th2 cytokines. An amplified Th1 or Th2 cytokine signal may trigger the switch between cellmediated or humoral effector mechanisms based on small changes in the relative concentrations of Th1 and Th2 cytokines. The ability to control this switch by modulating the relative concentrations of Th1 and Th2 cytokines would be useful for treating imbalances in a variety of Th1 and Th2 cell-dependent immune responses which can lead to immune disorders and diseases.

Pathological Th1 responses are associated with a number of organ-specific and systemic autoimmune conditions, chronic inflammatory diseases, and delayed type hypersensitivity reactions. As discussed above, Th1 responses also contribute to cellular responses leading to grafted tissue and transplanted organ rejection.

The treatment of these various Th1 cell-based immunological conditions to date has generally employed immunomodulatory and immuno suppressive agents as well as a number of drugs with poorly characterized mechanisms (e.g., gold or penicillamine). Three general immunosuppressive agents used currently are steroids, cyclosporine and azathioprine.

Steroids are pleiotropic anti-inflammatory agents which suppress activated macrophages and inhibit the activity of 25 antigen presenting cells in ways which reverse many of the effects of the Th1 cytokine IFN-y. Cyclosporine—a potent immunosuppressive agent—suppresses cytokine production and reduces the expression of IL-2 receptors on lymphocytes during their activation. Azathioprine is an anti-proliferative agent which inhibits DNA synthesis. These non-specific immunosuppressive agents are generally required in high doses which increase their toxicity (e.g. nephro- and hepatotoxicity) and cause adverse side effects. They are thus unsuitable for long term therapies.

To address the problems caused by conventional treatments with non-specific immunosuppressive agents, many current therapeutic strategies aim at suppressing or activating selective aspects of the immune system. An especially attractive goal is the manipulation of the balance between Th1 and Th2 cytokines to shift the balance between cell-mediated and humoral effector mechanisms.

To accomplish a shift between cell-mediated and humoral effector mechanisms, it would be useful to be able to modulate the activity of a molecule that can shift the relative activities of Th1 and Th2 cell subclasses. Candidates for such molecules include the cytokines and their receptors. Recent data suggest that LT-α, IL-12, IFN-α and IFN-γ favor the development of Th1 responses, whereas IL-1 and IL-4 polarize a response towards a Th2 effector mechanism (Romagnani et al., Ann. Rev. Immunol., 12, pp. 227-57 (1994)).

Many of the Th cell cytokines are pleiotropic regulators of immune development and function, and inhibiting their production would have deleterious effects on non-T cell mediated responses. A desirable and effective target for selectively modulating the choice between Th1 and Th2 effector mechanisms has not been identified.

### SUMMARY OF THE INVENTION

The present invention solves the problems referred to above by providing pharmaceutical compositions and methods for treating immunological diseases by inhibiting lymphotoxin- $\beta$  receptor (I.T- $\beta$ -R) signalling using lymphotoxin- $\beta$  receptor blocking agents. More particularly, the compositions and methods comprising LT- $\beta$ -R blocking

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agents are useful for inhibiting Th1 cell-mediated immune responses such as, for example, inflammatory bowel syndrome.

In one embodiment, soluble forms of the lymphotoxin-β receptor extracellular domain that act as LT-β-R blocking agents are provided. The preferred compositions and methods of this embodiment comprise a recombinant lymphotoxin-β receptor fusion protein that has the LT-β-R extracellular ligand binding domain fused to an immunoglobulin constant heavy chain domain. More preferably, the LT-β-R ligand binding domain is fused to a human IgG Fc domain.

In another embodiment of this invention, antibodies that act as LT-\$\beta\$-R blocking agents are provided. Preferred compositions and methods of this embodiment comprise one or more antibodies directed against the lymphotoxin-\$\beta\$ receptor. More preferably, the antibody is a monoclonal antibody. Other preferred compositions and methods of this embodiment comprise one or more antibodies directed against surface lymphotoxin. More preferably, the antibody is a monoclonal antibody directed against lymphotoxin-\$\beta\$.

ber ofdrugs with poorly characterized mechanisms (e.g., gold or penicillamine). Three general immunosuppressive agents used currently are steroids, cyclosporine and azathioprine. Steroids are pleiotropic anti-inflammatory agents which suppress activated macrophages and inhibit the activity of antigen presenting cells in ways which reverse many of the effects of the Th1 cytokine IFN- $\gamma$ . Cyclosporine—a potent immunosuppressive agent—suppresses cytokine production and reduces the expression of IL-2 receptors on lymphocytes

30 LT-β-R blocking agents inhibit the cytotoxic effects of LT-α/β heteromeric complexes (or other LT-β-R activating agents) on tumor cells. The procedure used to test putative LT-β-R blocking agents is exemplified for the case of anti-LT-β-R antibodies (in the presence of the LT-β-R activating agents LT-α1/β2) and comprises the following steps:

- 1) Tumor cells (e.g., HT29 human adenocarcinoma cells) are cultured for several days in media containing IFN- $\gamma$  and purified LT- $\alpha$ 1/ $\beta$ 2 in the presence or absence of the particular anti-LT- $\beta$ -R Ab being assayed;
- The cells are treated with a dye that stains living cells;
- 3) The number of stained cells is quantitated to determine the fraction of tumor cells killed in the presence of LT-α1/β2, IFN-γ and the test anti-LT-β-R Ab in each sample. Alternatively, the number of surviving cells can be determined by any of a number of well-known assays which measure cell viability, such as <sup>3</sup>H-thymidine incorporation into DNA. An anti-LT-β-R Ab (or an Ab combination) that decreases the percentage of tumor cells killed in this assay by at least 20% is a 50 LT-β-R blocking agent within the scope of this invention.

This cytolytic assay may be performed using LT- $\alpha/\beta$  heteromeric complexes and other LT- $\beta$ -R activating agents, either alone or in combination. The assay can also be adapted as required to identify new LT- $\beta$ -R blocking agents.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1. The sequence of the extracellular portion of the human LT-β receptor which encodes the ligand binding 60 domain.

FIG. 2. A soluble murine LT-β receptor coupled to the human IgG1 Fc domain (mLT-β-R-Fc) blocks LT-β-R signalling in mouse WEHI 164 cells induced by soluble murine LT-α/β ligand. WEHI 164 cells are killed as a function of increasing LT ligand (mLT-α/β) concentration. Soluble mLT-β-R-Fc (10 μg/ml) blocks this LT ligand-induced cell death. A soluble murine TNF receptor fusion protein (p55TNF-R-Fc)

has little effect on blocking LT-α/β-activated cell death. Growth was quantitated after three days by measuring the optical density (OD 550) of reacted MTT, which is proportional to cell number.

FIG. 3. An antibody directed against human LT-B-R 5 (BDA8 mAb) blocks the interaction between soluble LT ligand and LT-β-R on a human cell surface. The growth of WiDr tumor cells is blocked by a combination of IFN-y and soluble LT-α1/β2 ligand. The anti-LT-β-R antibody BDA8 blocks the ability of LT-α1/β2 ligand to inhibit the growth of 1 WiDr tumor cells. Solid symbols show cell growth in the presence of IgG1 control mAb (10 µg/ml). Open symbols show the effects of anti-LT-β-R mAb BDA8 (10 µg/ml).

FIG. 4. An antibody directed against human LT-β (B9 mAb) blocks the interaction between cell surface LT-α/β ligand and soluble I.T-β receptor (hLT-β-R-Fc; 2 μg/ml). Surface bound I.T-β-R-Fc was detected using phycoerythrinlabelled donkey anti-human IgG and FACS analysis. The mean fluorescence intensity of the resultant peak is plotted as channel number. Dotted line shows the mean fluorescence 20 intensity corresponding to the amount of receptor bound in the absence of the B9 mAb.

FIG. 5. The effects of a LT-β-R blocking agent (mLT-β-R-Fc) on ear swelling in a mouse contact delayed type hypersensitivity model (DTH). The graph shows the increase in ear 25 thickness measured 24 hours following 0.2% DNFB antigen challenge onto the ears of sensitized mice. Each symbol represents a separate experiment. All experiments utilized 7-8 animals per point except those demarcated with a diamond, which used only 4 animals per point. Mice treated with buffer 30 (PBS) and with 20 mg/kg of a control IgG fusion protein (LFA3-Fc) served as negative controls. Mice treated with 8 mg/kg of an anti-VLA4 mAb (PS/2 mAb), which inhibits contact DTH ear swelling, served as positive controls.

FIG. 6 is a graph of the weight change observed in mice 14 35 days after treatment with mLT-BR-lg and hLFA3-lg fusion

FIG. 7 is a graph of the change in colon length observed in mice 14 days after treatment with mLT-βR-lg and hLFA3-lg fusion proteins.

FIG. 8 is a time course of the body weight of mice following injection of CD45RBlowCD4 positive T cells; CD45RB<sup>high</sup>CD4 positive T cells; CD45RB<sup>high</sup> and in LTβR-lg; and CD45RB<sup>high</sup> and hLFA3-lg.

dard deviations of the body weights observed following the treatments in FIGS. 8-11.

FIG. 10 is a representation of the increase in footpad thickness of mice injected with negative and positive controls and mLTBR-lg.

### DETAILED DESCRIPTION OF THE INVENTION

In order that the invention herein described may be fully understood, the following detailed description is set forth.

The term "cytokine" refers to a molecule which mediates interactions between cells. A "lymphokine" is a cytokine released by lymphocytes.

The term "T helper (Th) cells" refers to a functional subclass of T cells which help to generate cytotoxic T cells and 60 which cooperate with B cells to stimulate antibody production. Helper T cells recognize antigen in association with class II MHC molecules.

The term "Th1" refers to a subclass of T helper cells that produce I.T-α, interferon-γ and IL-2 (and other cytokines) 65 and which elicit inflammatory reactions associated with a cellular, i.e. non-immunoglobulin, response to a challenge.

The term "Th2" refers to a subclass of T helper cells that produce cytokines, including II.-4, IL-5, IL-6 and IL-10, which are associated with an immunoglobulin (humoral) response to an immune challenge.

The term "cell mediated" refers to those immunological events that result from the direct effects of T cells and their products to produce a response. This type of response is generally (but not exclusively) associated with the Th1 class of T cells. Not included in this category would be the helper effects of T cells on B cell differentiation and B cell expansion, which are generally associated with the Th2 class of T

The term "delayed type hypersensitivity (DTH)" refers to an immunological response that is characterized by a slow response to an antigen with the full effect manifesting itself over a 1-3 day period. This slow response is in contrast to the relatively fast response seen in an immunoglobulin-mediated (humoral) allergic reaction. There are three types of DTH reactions: contact hypersensitivity, tuberculin-type hypersensitivity and granulomatous reactions.

The terms "immunoglobulin response" or "humoral response" refer to the immunological response of an animal to a foreign antigen whereby the animal produces antibodies to the foreign antigen. The Th2 class of T helper cells are critical to the efficient production of high affinity antibodies.

The term "Fc domain" of an antibody refers to a part of the molecule comprising the hinge, CH2 and CH3 domains, but lacking the antigen binding sites. The term is also meant to include the equivalent regions of an IgM or other antibody

The term "anti-LT-β receptor antibody" refers to any antibody that specifically binds to at least one epitope of the LT-β receptor.

The term "anti-LT antibody" refers to any antibody that specifically binds to at least one epitope of LT-α, LT-β or a LT-a/B complex.

The term "LT-β-R signalling" refers to molecular reactions associated with the LT-β-R pathway and subsequent molecular reactions which result therefrom.

The term "LT-β-R blocking agent" refers to an agent that can diminish ligand binding to LT-β-R, cell surface LT-β-R clustering or LT-β-R signalling, or that can influence how the I.T-β-R signal is interpreted within the cell.

A LT-β-R blocking agent that acts at the step of ligand-FIG. 9 is a graphical representation of the mean and stan- 45 receptor binding can inhibit LT ligand binding to the LT-β-R by at least 20%. A LT-β-R blocking agent that acts after the step of ligand-receptor binding can inhibit the cytotoxic effects of LT-β-R activation on a tumor cell by at least 20%. Examples of LT-β-R blocking agents include soluble LT-β-50 R-Fc molecules, and anti-LT-α, anti-LT-β, anti-LT-α/β and anti-LT-\beta-R Abs. Preferably, the antibodies do not cross-react with the secreted form of LT-α.

> The term "LT-β-R biological activity" refers to: 1) the ability of the LT-β-R molecule or derivative to compete for soluble or surface LT ligand binding with soluble or surface LT-β-R molecules; or

> 2) the ability to stimulate an immune regulatory response or cytotoxic activity in common with a native LT-\u03b3-R molecule.

> The terms "LT-α/β heteromeric complex" and "LT heteromeric complex" refer to a stable association between at least one LT-α and one or more LT-β subunits, including soluble, mutant, altered and chimeric forms of one or more of the subunits. The subunits can associate through electrostatic. van der Waals, or covalent interactions. Preferably, the LTα/β heteromeric complex has at least two adjacent LT-β subunits and lacks adjacent LT-a subunits. When the LT-a/B

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heteromeric complex serves as a LT-β-R activating agent in a cell growth assay, the complex is preferably soluble and has the stoichiometry LT-α1/β2. Soluble LT-α/β heteromeric complexes lack a transmembrane domain and can be secreted by an appropriate host cell which has been engineered to 5 express LT-α and/or LT-β subunits (Crowe et al., J. Immunol. Methods, 168, pp. 79-89 (1994)).

The term "LT ligand" refers to a LT heteromeric complex or derivative thereof that can specifically bind to the LT-B receptor.

The term "LT-β-R ligand binding domain" refers to the portion or portions of the LT-β-R that are involved in specific recognition of and interaction with a LT ligand.

The terms "surface LT-\alpha/\beta complex" and "surface LT complex" refer to a complex comprising LT-\alpha and membrane- 15 bound LT-β subunits-including mutant, altered and chimenc forms of one or more of the subunits-which is displayed on the cell surface. "Surface LT ligand" refers to a surface LT complex or derivative thereof that can specifically bind to the LT-β receptor.

The term "subject" refers to an animal, or to one or more cells derived from an animal. Preferably, the animal is a mammal. Cells may be in any form, including but not limited to cells retained in tissue, cell clusters, immortalized, transfected or transformed cells, and cells derived from an animal 25 and organ transplants, and in certain immune disorders that have been physically or phenotypically altered.

Lymphotoxin-β: A Member of the TNF Family

Tumor Necrosis Factor (TNF)-related cytokines have emerged as a large family of pleiotropic mediators of host defense and immune regulation. Members of this family exist in membrane-bound forms which act locally through cell-cell contact, or as secreted proteins which can act on distant targets. A parallel family of TNF-related receptors react with these cytokines and trigger a variety of pathways including cell death, cell proliferation, tissue differentiation and proinflammatory responses.

TNF, lymphotoxin-α (LT-α, also called TNF-β) and lymphotoxin-β (LT-β) are members of the TNF family of ligands, which also includes the ligands to the Fas, CD27, CD30, CD40, OX-40 and 4-1BB receptors (Smith et al., Cell, 76, pp. 959-62 (1994)). Signalling by several members of the TNF family—including TNF.

LT- $\alpha$ , LT- $\beta$  and Fas—can induce tumor cell death by necrosis or apoptosis (programmed cell death). In non-tumorigenic 45 cells, TNF and many of the TNF family ligand-receptor interactions influence immune system development and responses to various immune challenges.

Most TNF family ligands are found as a membrane-bound form on the cell surface. TNF and LT-a are found in both 50 secreted and membrane-associated surface forms in humans. Surface TNF has a transmembrane region that is proteolytically cleaved to generate the secreted form. In contrast, surface LT-α lacks a transmembrane region. Membrane-associated LT-a is tethered to the cell surface as a heteromeric 55 complex with LT-β, a related transmembrane polypeptide, in a LT-α/β complex.

Most membrane-associated LT-α/β complexes ("surface LT") have a LT-0.1/B2 stoichiometry (Browning et al., Cell, 72, pp. 847-56 (1993); Browning et al., J. Immunol., 154, pp. 33-46 (1995)). Surface LT ligands do not bind TNF-R with high affinity and do not activate TNF-R signalling. Another TNF-related receptor, called the LT-β receptor (LT-β-R), binds these surface lymphotoxin complexes with high affinity (Crowe et al., Science, 264, pp. 707-10 (1994)).

LT-B-R signalling, like TNF-R signalling, has anti-proliferative effects and can be cytotoxic to tumor cells. In appli-

cants' co-pending U.S. application Ser. No. 08/378, 968, compositions and methods for selectively stimulating LT-β-R using LT-B-R activating agents are disclosed. LT-B-R activating agents are useful for inhibiting tumor cell growth without co-activating TNF-R-induced proinflammatory or immunoregulatory pathways.

In non-tumor cells, TNF and TNF-related cytokines are active in a wide variety of immune responses. Both TNF and LT-\alpha ligands bind to and activate TNF receptors (p55 or p60 10 and p75 or p80; herein called "TNF-R"). TNF and LT-a are produced by macrophages in an early and rapid response to microbial infection which enhances the microbicidal activity of macrophages and neutrophils. TNF and LT-a made by macrophages or cytotoxic T lymphocytes (CTLs or "killer T cells") bind to TNF receptors on target cell surfaces and trigger the death of susceptible cells.

TNF and TNF-related cytokines can also initiate inflammatory cascades in response to infection or stress. The release of TNF, LT-a and IFN-y changes the adhesion properties 20 between the vascular endothelial cells and certain lymphocyte types. Increased adhesion facilitates phagocyte and leucocyte migration from the bloodstream into the tissues surrounding an inflammation site. Similar inflammatory reactions play a major role in cellular rejection of tissue grafts

Cell surface lymphotoxin (LT) complexes have been characterized in CD4+T cell hybridoma cells (II-23.D7) which express high levels of LT (Browning et al., J. Immunol., 147, pp. 1230-37 (1991); Androlewicz et al., J. Biol. Chem., 267, pp. 2542-47 (1992)). The expression and biological roles of LT-β-R, LT subunits and surface LT complexes have been reviewed in C. F. Ware et al., "The ligands and receptors of the lymphotoxin system", in Pathways for Cytolysis, Current Topics Microbiol. Immunol, Springer-Verlag, pp. 175-218 (1995).

LT-a expression is induced and LT-a secreted primarily by activated T and B lymphocytes and natural killer (NK) cells. Among the T helper cell subclasses, LT-\alpha appears to be produced by Th1 but not Th2 cells. LT-a has also been detected in melanocytes. Microglia and T cells in lesions of multiple sclerosis patients can also be stained with anti-LT-o antisera.

Lymphotoxin-β (also called p33), has been identified on the surface of T lymphocytes, T cell lines, B cell lines and lymphokine-activated killer (LAK) cells. LT-\beta is the subject of applicants' co-pending international applications PCT/ US91/04588, published Jan. 9, 1992 as WO 92/00329; and PCT/US93/11669, published Jun. 23, 1994 as WO 94/13808, which are herein incorporated by reference.

Surface LT complexes are primarily expressed by activated T and B lymphocytes and natural killer (NK) cells as defined by FACS analysis or immunohistology using anti-LT-a antibodies or soluble LT-β-R-Fc fusion proteins. Surface LT has also been described on human cytotoxic T lymphocyte (CTL) clones, activated peripheral mononuclear lymphocytes (PML), IL-2-activated peripheral blood lymphocytes (LAK cells), pokeweed mitogen-activated or anti-CD40-activated peripheral B lymphocytes (PBL) and various lymphoid tumors of T and B cell lineage. Engagement of alloantigenbearing target cells specifically induces surface LT expres-60 sion by CD8+ and CD4+ CTL clones.

The LT-β receptor, a member of the TNF family of receptors, specifically binds to surface LT ligands. LT-β-R binds LT heteromeric complexes (predominantly LT-α1/β2 and LT-α2/ β1) but does not bind TNF or LT-α (Crowe et al., Science, 264, pp. 707-10 (1994)). Signalling by LT-β-R may play a role in peripheral lymphoid organ development and in humoral immune responses.

Studies on LT-B-R expression are in their early stages. LT-β-R mRNAs are found in human spleen, thymus and other major organs. LT-β-R expression patterns are similar to those reported for p55-TNF-R except that LT-β-R is lacking on peripheral blood T cells and T cell lines.

#### Production of Soluble LT Complexes

Soluble LT-a/â heteromeric complexes comprise LT-â subunits which have been changed from a membrane-bound to a soluble form. These complexes are described in detail in applicants' co-pending international application (PCT/US93/ 11669, published Jun. 23, 1994 as WO 94/13808). Soluble I.T-â peptides are defined by the amino acid sequence of lymphotoxin-â wherein the sequence is cleaved at any point between the end of the transmembrane region (i.e. at about amino acid #44) and the first TNF homology region (i.e. at amino acid #88) according to the numbering system of Browning et al., Cell, 72, pp. 847-56 (1993).

Soluble LT-â polypeptides may be produced by truncating the N-terminus of LT-a to remove the cytoplasmic tail and transmembrane region (Crowe et al., Science, 264, pp. 707-710 (1994)). Alternatively, the transmembrane domain may be inactivated by deletion, or by substitution of the normally hydrophobic amino acid residues which comprise a transmembrane domain with hydrophilic ones. In either case, a substantially hydrophilic hydropathy profile is created which will reduce lipid affinity and improve aqueous solubility. Deletion of the transmembrane domain is preferred over substitution with hydrophilic amino acid residues because it avoids introducing potentially immunogenic epitopes.

The deleted or inactivated transmembrane domain may be replaced with or attached to a type I leader sequence (e.g. the VCAM-1 leader) such that the protein is secreted beginning with a sequence anywhere from between val40 to pro88. Soluble LT-8 polypeptides may include any number of wellknown leader sequences at the N-terminus. Such a sequence would allow the peptides to be expressed and targeted to the secretion pathway in a eukaryotic system. See, e.g., Ernst et al., U.S. Pat. No. 5,082,783 (1992).

Soluble LT-\alpha/\text{\alpha} heteromeric complexes may be produced by co-transfecting a suitable host cell with DNA encoding LT-a and soluble LT-â (Crowe et al., J. Immunol. Methods, 168, pp. 79-89 (1994)). Soluble LT-â secreted in the absence of LT-a is highly oligomerized. However, when co-expressed with LT-a, a 70 kDa trimeric-like structure is formed which contains both proteins. It is also possible to produce soluble LT-0.1/22 heteromeric complexes by transfecting a cell line which normally expresses only LT-a (such as the RPMI 1788 cells discussed above) with a gene encoding a soluble LT-â polypeptide.

LT-α and LT-â polypeptides may be separately synthesized, denatured using mild detergents, mixed together and renatured by removing the detergent to form mixed LT hetcromeric complexes which can be separated (see below).

#### Purification of LT-a1/82 Complexes

Soluble LT-\alpha1/\delta2 heteromeric complexes are separated from co-expression complexes comprising a different subunit stoichiometry by chromatography using TNF and LT-â receptors as affinity purification reagents. The TNF receptors only binds with high affinity to 8/a clefts, and with lower affinity to a/8 clefts of heteromeric LT-a/a complexes. Accordingly, LT-α3 and LT-α2/δ1 will bind to TNF-R. The LT-δ-R can also bind LT-\a2/81 trimers (within the \a/\hat{a} clefts) but cannot bind I.T-\alpha3. In addition, the LT-\delta-R (but not TNF-R) binds LT-\alpha1/65 δ2 and LT-δn (the exact composition of such preparation is unknown, however, they are large aggregates).

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The receptor affinity reagents can be prepared as either a soluble extracellular domain (see for example Loetscher et al., J. Biol. Chem., 266, pp. 18324-29 (1991)), or as chimeric proteins with the extracellular ligand binding domain coupled to an immunoglobulin Fc domain (Loetscher et al., J. Biol. Chem., 266, pp. 18324-29 (1991); Crowe et al., Science, 264, pp. 707-710 (1994)). Receptors are coupled to affinity matrices by chemical cross-linking using routine procedures.

There are two schemes by which the LT-\alpha1/\text{\alpha2} ligand can be purified using receptors and immuno-affinity chromatography. In the first scheme, a supernatant from an appropriate expression system co-expressing both LT-a and the truncated I.T-â form is passed over a TNF-R column. The TNF-R will bind LT-α3 and LT-α2/a1 trimers. The flow through from the TNF-R column will contain LT-â(n) and LT-α1/â2

In the second scheme, all LT-â-containing forms (LT-â(n), LT-a1/22 and LT-a2/21) are bound to and eluted from a LT-â-R column using classical methods such as chaotrophe or pH change. (LT-a3 flows through this column). The eluate is neutralized or the chaotrophe removed, and the eluate is then passed over a TNF-R column, which binds only to the LT-a2/ âl trimers. The flow through of this column will contain LT-â(n) and LT-α1/â2 trimers.

In both cases, pure LT-\alpha1/\text{\text{\text{a2}} trimers can be separated from 25 LT-â by subsequent gel filtration and/or ion exchange chromatographic procedures known to the art.

Alternatively, different forms of LT-α/â heteromeric complexes can be separated and purified by a variety of conventional chromatographic means. It may also be preferable to combine a series of conventional purification schemes with one of the immunoaffinity purification steps described above.

### Screening for LT-B-R Blocking Agents

In one embodiment of this invention, the LT-β-R blocking agent comprises an antibody (Ab) directed against LT-β-R that inhibits LT-β-R signalling. Preferably, the anti-LT-β-R Ab is a monoclonal antibody (mAb). One such inhibitory anti-LT-\u00b3-R mAb is BDA8 mAb.

Inhibitory anti-LT-β-R Abs and other LT-β-R blocking agents can be identified using screening methods that detect the ability of one or more agents either to bind to the LT-β-R or LT ligand, or to inhibit the effects of LT-β-R signalling on

One screening method makes use of the cytotoxic effects of LT-β-R signalling on tumor cells bearing the LT-β-R. Tumor cells are exposed to one or more LT-\u03b3-R activating agents to induce LT-β-R signalling. LT-β-R activating agents include LT-α/β heteromeric complexes (preferably soluble LT-α1/ β2) in the presence of IFN-γ, or an activating anti-LT-β-R Ab (see below; also described in applicants' co-pending U.S. application Ser. No. 08/378,968). Antibodies and other agents that can block LT-\beta-R signalling are selected based on their ability to inhibit the cytotoxic effect of LT-β-R signalling on tumor cells in the following assay:

- 1) Tumor cells such as HT29 cells are cultured for three to four days in a series of tissue culture wells containing media and at least one LT-β-R activating agent in the presence or absence of serial dilutions of the agent being tested;
- 2) A vital dye stain which measures mitochondrial function bind within o/a clefts of LT complexes. The LT-a receptor 60 such as MTT is added to the tumor cell mixture and reacted for several hours;
  - 3) The optical density of the mixture in each well is quantitated at 550 nm wavelength light (OD 550). The OD 550 is proportional to the number of tumor cells remaining in the presence of the LT-β-R activating agent and the test LT-β-R blocking agent in each well. An agent or combination of agents that can reduce LT-β-R-activated tumor cell cytotox-

icity by at least 20% in this assay is a LT-B-R blocking agent within the scope of this invention.

Any agent or combination of agents that activate LT-β-R signalling can be used in the above assay to identify LT-β-R blocking agents. LT-β-R activating agents that induce LT-β-R signalling (such as activating anti-LT-β-R mAbs) can be selected based on their ability-alone or in combination with other agents—to potentiate tumor cell cytotoxicity using the tumor cell assay described above.

Another method for selecting an LT-β-R blocking agent is 10 to monitor the ability of the putative agent to directly interfere with LT ligand-receptor binding. An agent or combination of agents that can block ligand-receptor binding by at least 20% is an LT-β-R blocking agent within the scope of this invention.

Any of a number of assays that measure the strength of 15 ligand-receptor binding can be used to perform competition assays with putative LT-β-R blocking agents. The strength of the binding between a receptor and ligand can be measured using an enzyme-linked immunoadsorption assay (ELISA) or a radio-immunoassay (RIA). Specific binding may also be measured by fluorescently labelling antibody-antigen complexes and performing fluorescence-activated cell sorting (FACS) analysis, or by performing other such immunodetection methods, all of which are techniques well known in the

The ligand-receptor binding interaction may also be measured with the BIAcore instrument (Pharmacia Biosensor) which exploits plasmon resonance detection (Zhou et al., Biochemistry, 32, pp. 8193-98 (1993); Faegerstram and O'Shannessy, "Surface plasmon resonance detection in affinity technologies", in Handbook of Affinity Chromatography, pp. 229-52, Marcel Dekker, Inc., New York (1993)).

The BIAcore technology allows one to bind receptor to a gold surface and to flow ligand over it. Plasmon resonance 35 detection gives direct quantitation of the amount of mass bound to the surface in real time. This technique yields both on and off rate constants and thus a ligand-receptor dissociation constant and affinity constant can be directly determined agent.

With any of these or other techniques for measuring receptor-ligand interactions, one can evaluate the ability of a LTβ-R blocking agent, alone or in combination with other agents, to inhibit binding of surface or soluble LT ligands to 45 surface or soluble LT-β-R molecules. Such assays may also be used to test LT-\beta-R blocking agents or derivatives of such agents (e.g. fusions, chimeras, mutants, and chemically altered forms)-alone or in combination-to optimize the ability of that altered agent to block LT-\u03b3-R activation.

### Production of Soluble LT-β-R Molecules

The LT-β-R blocking agents in one embodiment of this invention comprise soluble LT-β receptor molecules. FIG. 1 shows the sequence of the extracellular portion of the human 55 LT-β-R, which encodes the ligand binding domain. Using the sequence information in FIG. 1 and recombinant DNA techniques well known in the art, functional fragments encoding the LT-β-R ligand binding domain can be cloned into a vector and expressed in an appropriate host to produce a soluble LT-β-R molecule. Soluble LT-β-R molecules that can compete with native LT-B receptors for LT ligand binding according to the assays described herein are selected as LT-β-R blocking agents.

A soluble LT-ß receptor comprising amino acid sequences 65 selected from those shown in FIG. 1 may be attached to one or more heterologous protein domains ("fusion domain") to

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increase the in vivo stability of the receptor fusion protein, or to modulate its biological activity or localization.

Preferably, stable plasma proteins—which typically have a half-life greater than 20 hours in the circulation—are used to construct the receptor fusion proteins. Such plasma proteins include but are not limited to: immunoglobulins, serum albumin, lipoproteins, apolipoproteins and transferrin. Sequences that can target the soluble LT-\beta-R molecule to a particular cell or tissue type may also be attached to the LT-B-R ligand binding domain to create a specifically-localized soluble LTβ-R fusion protein.

All or a functional portion of the LT-B-R extracellular region (FIG. 1) comprising the LT-β-R ligand binding domain may be fused to an immunoglobulin constant region like the Fc domain of a human IgG1 heavy chain (Browning et al., J. Immunol., 154, pp. 33-46 (1995)). Soluble receptor-IgG fusion proteins are preferable, and are common immunological reagents, and methods for their construction are known in the art (see e.g., U.S. Pat. No. 5,225,538 incorporated herein 20 by reference).

A functional LT-β-R ligand binding domain may be fused to an immunoglobulin (Ig) Fc domain derived from an immunoglobulin class or subclass other than IgG1. The Fc domains of antibodies belonging to different Ig classes or subclasses 25 can activate diverse secondary effector functions. Activation occurs when the Fc domain is bound by a cognate Fc receptor. Secondary effector functions include the ability to activate the complement system, to cross the placenta, and to bind various microbial proteins. The properties of the different classes and subclasses of immunoglobulins are described in Roitt et al., Immunology, p. 4.8 (Mosby-Year Book Europe Ltd., 3d ed. 1993).

Activation of the complement system initiates cascades of enzymatic reactions that mediate inflammation. The products of the complement system have a variety of functions, including binding of bacteria, endocytosis, phagocytosis, cytotoxicity, free radical production and solubilization of immune complexes.

The complement enzyme cascade can be activated by the in the presence and absence of the putative LT-B-R blocking 40 Fc domains of antigen-hound IgG1, IgG3 and IgM antibodies. The Fc domain of IgG2 appears to be less effective, and the Fc domains of IgG4, IgA, IgD and IgE are ineffective at activating complement. Thus one can select a Fc domain based on whether its associated secondary effector functions are desirable for the particular immune response or disease being treated with the LT-β-R-Fc fusion protein.

If it would be advantageous to harm or kill the LT ligandbearing target cell, one could select an especially active Fc domain (IgG1) to make the LT-β-R-Fc fusion protein. Alternatively, if it would be desirable to target the LT-\beta-R-Fc fusion to a cell without triggering the complement system, an inactive IgG4 Fc domain could be selected.

Mutations in Fc domains that reduce or eliminate binding to Fc receptors and complement activation have been described (S. Morrison, Annu. Rev. Immunol., 10, pp. 239-65 (1992)). These or other mutations can be used, alone or in combination, to optimize the activity of the Fc domain used to construct the LT-\u00b1-R-Fc fusion protein.

The production of a soluble human LT-β-R fusion protein comprising ligand binding sequences fused to a human immunoglobulin Fc domain (hLT-\beta-R-Fc) is described in Example 1. One CHO line made according to Example 1 that secretes hLT-β-R-Fc is called "hLTB; R-hG1 CHO#14". A sample of this line was deposited on Jul. 21, 1995 with the American Type Culture Collection (ATCC) (Rockville, Md.) according to the provisions of the Budapest Treaty and was assigned the ATCC accession number CRL11965.

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14 Example 2) was tested for its ability to block the death of mouse WEHI 164 cells treated with LT ligand (Example 4).

The production of a soluble murine LT-β-R fusion molecule (mLT-β-R-Fc) is described in Example 2. A CHO line made according to Example 2 that secretes mLT-β-R-Fc is called "mLTB; R-hG1 CHO#1.3.BB". A sample of this line was deposited on Jul. 21, 1995 with the American Type Cul- 5 ture Collection (ATCC) (Rockville, Md.) according to the provisions of the Budapest Treaty and was assigned the ATCC accession number CRL11964.

All restrictions on the availability to the public of the above ATCC deposits will be irrevocably removed upon the grant- 10 ing of a patent on this application.

Different amino acid residues forming the junction point of the receptor-Ig fusion protein may alter the structure, stability and ultimate biological activity of the soluble LT-β receptor fusion protein. One or more amino acids may be added to the 15 Source of Anti-Human LT-β-R Antibodies C-terminus of the selected LT-\beta-R fragment to modify the junction point with the selected fusion domain.

The N-terminus of the LT-β-R fusion protein may also be varied by changing the position at which the selected LT- $\beta$ -R DNA fragment is cleaved at its 5' end for insertion into the 20 recombinant expression vector. The stability and activity of each LT-β-R fusion protein may be tested and optimized using routine experimentation and the assays for selecting LT-β-R blocking agents described herein.

Using the LT-B-R ligand binding domain sequences within the extracellular domain shown in FIG. 1, amino acid sequence variants may also be constructed to modify the affinity of the soluble LT- $\beta$  receptor or fusion protein for LT ligand. The soluble LT-\beta-R molecules of this invention can compete for surface LT ligand binding with endogenous cell surface LT-β receptors. It is envisioned that any soluble molecule comprising a LT-β-R ligand binding domain that can compete with cell surface LT-\$\beta\$ receptors for LT ligand binding is a LT-β-R blocking agent that falls within the scope of 35 the present invention.

### Soluble LT-β-R Molecules as LT-β-R Blocking Agents

A soluble human LT-\beta receptor-immunoglobulin fusion protein (hLT-β-R-Fc) was made according to the procedures in Example 1 and tested for its ability to block LT-β-Rinduced cytotoxicity in human HT29 tumor cells. Table 1 (Example 3) compares the ability of soluble LT-β receptor (hLT-β-R-Fc) and TNF receptor (p55-TNF-R-Fc) fusion proteins to block the inhibitory effects of various TNF and soluble LT ligands on HT29 tumor cell growth.

The data in Table 1 indicate the concentrations at which a soluble LT-β receptor (hLT-β-R-Fc) can block the tumor cell death caused by interaction between LT-α1/β2 ligand and cell surface LT-β receptors by 50%. The ability to block tumor cell growth at least 20% identifies this soluble LT-β receptor as a LT-β-R blocking agent according to this invention. As expected, the soluble TNF-R fusion protein (p55-TNF-R-Fc) completely blocked TNF-induced growth inhibition by binding to TNF and preventing its interaction with surface recep-

The soluble TNF-R fusion protein had no effect on LT ligand (LT- $\alpha$ 1/ $\beta$ 2)-mediated anti-proliferative effects. In contrast, the LT-β-R fusion protein blocked LT ligand effects but fusion proteins do not interfere with TNF-R activation by TNF and LT- $\alpha$  ligands.

To determine whether I.T-β-R signalling is also cytotoxic to tumor cells in mice, and whether soluble LT-β-R fusion experiment was performed using mouse tumor cells. A soluble murine LT-β-R-Fc fusion protein (mLT-β-R-Fc; see

FIG. 2 shows the effects of the soluble murine LT- $\beta$ -R (mLT-β-R-Fc) on LT ligand-induced LT-β-R signalling in mouse WEHI 164 cells. As this assay indicates, WEHI 164 cells are killed by treatment with soluble LT-α1/β2 ligand. Addition of mLT-β-R-Fc blocks LT ligand-activated cell death. The control TNF receptor fusion protein (p55TNF-R-Fc) has little effect on blocking cell death.

These data show that a soluble LT-β-R fusion protein can effectively compete with surface LT-B-R molecules for LT ligand binding. The soluble mLT-β-R-Fc fusion protein thus acts as a LT-β-R blocking agent in mice.

In another embodiment of this invention, antibodies directed against the human LT-β receptor (anti-LT-β-R Abs) function as LT-β-R blocking agents. The anti-LT-β-R Abs of this invention can be polyclonal or monoclonal (mAbs) and can be modified to optimize their ability to block LT-β-R signalling, their in vivo bioavailability, stability, or other desired traits.

Polyclonal antibody sera directed against the human LT-B receptor are prepared using conventional techniques by injecting animals such as goats, rabbits, rats, hamsters or mice subcutaneously with a human LT- $\beta$  receptor-Fc fusion protein (Example 1) in complete Freund's adjuvant, followed by booster intraperitoneal or subcutaneous injection in incomplete Freund's. Polyclonal antisera containing the desired antibodies directed against the LT-β receptor are screened by conventional immunological procedures.

Mouse monoclonal antibodies (mAbs) directed against a human LT-β receptor-Fc fusion protein are prepared as described in Example 5. A hybridoma cell line (BD.A8.AB9) which produces the mouse anti-human LT-β-R mAb BDA8 was deposited on Jan. 12, 1995 with the American Type Culture Collection (ATCC) (Rockville, Md.) according to the provisions of the Budapest Treaty, and was assigned the ATCC accession number HB11798. All restrictions on the availability to the public of the above ATCC deposits will be irrevocably removed upon the granting of a patent on this application.

Various forms of anti-LT-β-R antibodies can also be made using standard recombinant DNA techniques (Winter and Milstein, Nature, 349, pp. 293-99 (1991)). For example, "chimeric" antibodies can be constructed in which the antigen binding domain from an animal antibody is linked to a human constant domain (e.g. Cabilly et al., U.S. Pat. No. 4,816,567; Morrison et al., Proc. Natl. Acad. Sci. U.S.A., 81, pp. 6851-55 (1984)). Chimeric antibodies reduce the observed immunogenic responses elicited by animal antibodies when used in human clinical treatments.

In addition, recombinant "humanized antibodies" which recognize the LT-β-R can be synthesized. Humanized antibodies are chimeras comprising mostly human IgG sequences into which the regions responsible for specific antigen-binding have beer inserted (e.g. WO 94/04679). Animals are immunized with the desired antigen, the correspondnot the effects of TNF or LT-α. Thus soluble human LT-β-R 60 ing antibodies are isolated, and the portion of the variable region sequences responsible for specific antigen binding are removed. The animal-derived antigen binding regions are then cloned into the appropriate position of human antibody genes in which the antigen binding regions have been deleted. proteins can block LT-β-R-induced cytotoxicity, a similar 65 Humanized antibodies minimize the use of heterologous (inter-species) sequences in human antibodies, and are less likely to elicit immune responses in the treated subject.

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Construction of different classes of recombinant anti-LTβ-R antibodies can also be accomplished by making chimeric or humanized antibodies comprising the anti-LT-β-R variable domains and human constant domains (CH1, CH2, CH3) isolated from different classes of immunoglobulins. For 5 example, anti-LT-β-R IgM antibodies with increased antigen binding site valencies can be recombinantly produced by cloning the antigen binding site into vectors carrying the human u chain constant regions (Arulanandam et al., J. Exp. Med., 177, pp. 1439-50 (1993); Lane et al., Eur. J. Immunol., 10 22, pp. 2573-78 (1993); Traunecker et al., Nature, 339, pp. 68-70 (1989)).

In addition, standard recombinant DNA techniques can be used to alter the binding affinities of recombinant antibodies with their antigens by altering amino acid residues in the vicinity of the antigen binding sites. The antigen binding affinity of a humanized antibody can be increased by mutagenesis based on molecular modelling (Queen et al., Proc. Natl. Acad. Sci. U.S.A., 86, pp. 10029-33 (1989); WO 94/04679).

It may be desirable to increase or to decrease the affinity of anti-LT-\beta-R Abs for the LT-\beta-R depending on the targeted tissue type or the particular treatment schedule envisioned. For example, it may be advantageous to treat a patient with constant levels of anti-LT-\beta-R Abs with reduced ability to signal through the LT-β pathway for semi-prophylactic treatments. Likewise, inhibitory anti-LT-B-R Abs with increased affinity for the LT-β-R may be advantageous for short-term

#### Anti-LT-β-R Antibodies as LT-β-R Blocking Agents

Anti-LT-β-R antibodies that act as LT-β-R blocking agents may be selected by testing their ability to inhibit LT-β-Rinduced cytotoxicity in tumor cells (Example 5).

In a preferred embodiment of this invention, compositions and methods comprise the mouse anti-human LT-β-R mAb BDA8. FIG. 3 shows that mAb BDA8 acts as a LT-\u03b3-R blocking agent as defined by this invention. WiDr tumor cells stop growing in the presence of IFN- $\gamma$  and soluble LT- $\alpha$ 1/ $\beta$ 2 40 ligand. Control antibodies (IgG1) have no effect on this growth inhibition. In contrast, the anti-IT-β-R mAb BDA8 blocks the ability of soluble LT-a1/B2 ligand to inhibit WiDr cell growth. Thus an antibody directed against human LT-β-R can function as a LT-β-R blocking agent as defined by the 45 selected as a LT-β-R blocking agent. present invention.

By testing other antibodies directed against the human LT-β receptor, it is expected that additional anti-LT-β-R antibodies that function as LT-β-R blocking agents in humans can described herein.

#### Source of Anti-Surface LT Ligand Antibodies

Another preferred embodiment of this invention involves compositions and methods which comprise antibodies 55 directed against LT ligand that function as LT-β-R blocking agents. As described above for the anti-LT-β-R Abs, anti-LT ligand antibodies that function as LT-8-R blocking agents can be polyclonal or monoclonal, and can be modified according to routine procedures to modulate their antigen binding properties and their immunogenicity.

The anti-LT antibodies of this invention can be raised against either one of the two LT subunits individually, including soluble, mutant, altered and chimeric forms of the LT subunit. If LT subunits are used as the antigen, preferably they are LT-β subunits. If LT-α subunits are used, it is preferred that the resulting anti-LT-a antibodies bind to surface LT

ligand and do not cross-react with secreted LT-a or modulate TNF-R activity (according to the assays described in

Alternatively, antibodies directed against a homomeric (LT-β) or a heteromeric (LT-α/β) complex comprising one or more LT subunits can be raised and screened for activity as LT-β-R blocking agents. Preferably, LT-α1/β2 complexes are used as the antigen. As discussed above, it is preferred that the resulting anti-LT-α1/β2 antibodies bind to surface LT ligand without binding to secreted LT-a and without affecting TNF-R activity.

The production of polyclonal anti-human LT-\alpha antibodies is described in applicants' co-pending application (WO 94/13808). Monoclonal anti-LT-α and anti-LT-β antibodies have also been described (Browning et al., J. Immunol., 154, pp. 33-46 (1995)).

Mouse anti-human LT-β mAbs were prepared as described in Example 6. A hybridoma cell line (B9.C9.1) which produces the mouse anti-human LT-β-R mAb B9 was deposited  $^{20}\,\,$  on Jul. 21, 1995 with the American Type Culture Collection (ATCC) (Rockville, Md.) according to the provisions of the Budapest Treaty, and was assigned the ATCC accession number HB11962.

Monoclonal hamster anti-mouse LT-α/β antibodies were prepared as described in Example 7. A hybridoma cell line (BB.F6.1) which produces the hamster anti-mouse LT-α/β mAb BB.F6 was deposited on Jul. 21, 1995 with the American Type Culture Collection (ATCC) (Rockville, Md.) according to the provisions of the Budapest Treaty, and was 30 assigned the ATCC accession number HB11963.

All restrictions on the availability to the public of the above ATCC deposits will be irrevocably removed upon the granting of a patent on this application.

### 35 Anti-LT Ligand Antibodies as LT-β-R Blocking Agents

A fluorescence-activated cell sorting (FACS) assay was developed to screen for antibodies directed against LT subunits and LT complexes that can act as LT-\beta-R blocking agents (Examples 6 and 7). In this assay, soluble human LT-β-R-Fc fusion protein is added to PMA-activated II-23 cells-which express surface LT complexes (Browning et al., J. Immunol., 154, pp. 33-46 (1995)—in the presence of increasing amounts of the test antibody. An antibody that can inhibit LT-\(\beta\) receptor-ligand interaction by at least 20% is

The results of this assay performed to test the mouse antihuman LT-β mAb B9 are shown in FIG. 4. FIG. 4 shows that anti-LT-\beta mAb B9 can selectively block the binding of soluble LT-β-R-Fc fusion proteins to surface LT ligands be identified using routine experimentation and the assays 50 induced on activated cells. These results confirm that antibodies directed against a LT ligand subunit will function as an LT-β-R blocking agent.

> The FACS assay described above was also used to test mAbs raised in hamster against a soluble mouse LT-α/β complex (Example 7). The results of this assay performed to test the hamster anti-mouse LT-a/B mAb BB.F6 are shown in Table 2 (Example 7). Table 2 shows that anti-LT-α/β mAb BB.F6 can effectively block the binding of soluble mLT-\u00b1-\u00e4 R-Fc fusion proteins (Example 2) to surface LT ligands expressed on a murine T cell hybridoma and is thus a LT-β-R blocking agent according to this invention.

> Using a LT-α/β complex rather than a LT subunit as an antigen to immunize an animal may lead to more efficient immunization, or may result in antibodies having higher affinities for surface LT ligand. It is conceivable that by immunizing with the LT-α/β complex, antibodies which recognize amino acid residues on both the LT-α and the LT-β

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subunits (e.g., residues that form an LT- $\alpha/\beta$  cleft) can be isolated. By testing antibodies directed against human LT- $\alpha/\beta$  heteromeric complexes, it is expected that additional anti-LT antibodies that function as LT- $\beta$ -R blocking agents in humans can be identified using routine experimentation and the assays described herein.

LT-β-R Blocking Agents Inhibit Th1 Cell-Mediated Contact Hypersensitivity in Mouse

The LT-β-R blocking agents of this invention can inhibit Th1 cell-mediated immune responses. One such Th1-mediated response is delayed type hypersensitivity (DTH; Cher and Mosmann, *J. Immunol.*, 138, pp. 3688-94 (1987); see also I. Roitt et al., Immunology, pp. 22.1-22.12, Mosby-Year Book Europe Ltd., 3d ed. (1993) for a general discussion). DTH is evoked when antigen-sensitized Th1 cells secrete cytokines following a secondary contact with the same antigen. The Th1 cytokines attract and activate macrophages that release additional effector molecules which trigger inflammatory reactions.

DTH reactions are classified into three different types: contact hypersensitivity, tuberculin-type hypersensitivity and granulomatous reactions. The three types of hypersensitivity (HS) may be distinguished by the speed and nature of the response to foreign antigen when it is applied directly to or injected beneath the skin of a sensitized subject. The DTH reaction is monitored by measuring the rate and degree to which the skin thickens.

Tuberculin-type HS reactions are skin reactions which occur at the injection site of a foreign antigen from a microorganism to which the subject has been previously exposed (e.g. mycobacterium tuberculosis or M. leprae). This skin reaction, which is maximal between 48 and 72 hours, is frequently used as the basis for diagnostic sensitivity tests to previously-encountered microorganisms (e.g. the tuberculin skin test). As a tuberculin-type lesion develops, it can become a granulomatous reaction if the antigen persists in the tissue.

Granulomatous reactions are clinically the most serious DTH reactions because they can lead to many of the pathological effects associated with Th1 cell-mediated diseases. Granulomatous reactions occur when antigens or immune complexes fail to clear from macrophages and continue to stimulate Th1 cytokine secretion. Chronic inflammation and aggregation of activated macrophages at the site of the stimulus characterize granulomatous reactions.

A core of epithelial cells and macrophages, which can also be surrounded by lymphocytes and fibrotic depositions, form a hardened structure called a granuloma. Sometimes there is extensive cell death in the core of the granuloma (e.g. in tuberculosis-affected lung tissue). Hardening in the target tissue of a granulomatous reaction occurs in about 4 weeks.

Agents which affect the frequency of granuloma formation can be identified using schistosome-infected mice (Amiri et al., Nature, 356, pp. 604-607 (1992)). Schistosome worms (blood flukes) can cause a parasitic disease leading to granuloma formation around the schistosome eggs deposited in portal venules of the infected liver. Agents that inhibit this Th1 cell-mediated DTH response may decrease the size of the granuloma, or the frequency or rate of granuloma formation in schistosome-infected mouse livers. Cellular reaction to the schistosome eggs can be assessed by quantitating the number and size of granulomas formed in mice treated with increasing concentrations of a putative LT-β-R blocking agent over time.

Contact hypersensitivity (CHS) is a class of DTH in which 65 skin is the target organ. In CHS, an inflammatory response is caused by locally applying a reactive hapten onto the skin.

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Allergens generally comprise at least one hapten molecule, which is usually too small to be antigenic on its own. The hapten penetrates the epidermis and reacts with a normal protein under the skin to produce a novel antigenic complex.

Re-exposure of a sensitized subject to the hapten triggers the DTH response. The hapten-carrier protein conjugate, in combination with antigen presenting cells, activates effector mechanisms that trigger the release of cytokines (including IL-2, IL-3, IFN-γ and GM-CSF). The cascade of released cytokines causes CD4+ T cells to proliferate, the expression patterns of various cell surface adhesion molecules to change, and the attraction of T cells and macrophages to the skin at the site of inflammation. The cytokine cascade and resulting vasodilation, cellular infiltration and edema of the dermis and epidermis leads to swelling and inflammation of the target tissue, which accounts for the measurable skin thickening in response to DTH reactions.

The degree to which a particular hapten can sensitize an individual depends on a variety of factors. These factors include how well the hapten can penetrate the skin and react with a host carrier protein to form a conjugate. One hapten that sensitizes nearly all individuals is 2,4-dinitrofluorobenzene (DNFB).

The skin CHS response to a hapten such as DNFB is a classic animal model for cell-mediated immunity. Localization of this CHS response to the ear of a sensitized mouse allows easy, accurate and reproducible quantitation of this cell-mediated immune response in vivo by measuring ear thickness. The details of the murine CHS reaction and the 30 histopathology of the DNFB-induced inflammatory response have been reported (Chisholm et al., Eur. J. Immunol., 23, pp. 682-688 (1993)).

The ability of DNFB to induce a contact A hypersensitivity response in most individuals can be used to identify agents that reduce or eliminate the inflammatory responses associated with Th1 cell-mediated DTH reactions. A soluble murine LT-β-R-Fc fusion protein effectively inhibits DNFB-induced contact hypersensitivity responses in mice (Example 8). Mice were initially sensitized by applying DNFB onto the bottom of each hind foot on two consecutive days. Five days after the initial sensitization, a sub-irritant dose of DNFB in carrier solution was applied to the surfaces of the left ear. Carrier solution alone was applied to the right ear as a control.

Increasing concentrations of the LT-β-R blocking agent mLT-β-R-Fc (Example 2) were then injected intravenously into the mice (Example 8). Injections of PBS buffer alone, or of a human IgG fusion protein (LFA3-Fc) served as negative controls, and injection of an anti-VLA4-specific mAb (PS/2 mAb) known to inhibit CHS served as a positive control. Twenty-four hours after challenge, the thickness of each ear (DNFB-challenged and unchallenged) was measured. Inhibition of the ear swelling response by the LT-β-R blocking agent was judged by comparison of treated groups with their negative control group.

FIG. 5 shows that mLT-β-R-Fc causes a significant reduction in the ear swelling response of DNFB-treated mice compared to uninhibited DNFB-treated control animals (PBS and LFA3-Fc). Soluble LT-β-R can block this CHS reaction as effectively as the inhibitor anti-VLA4-specific mAb (PS/2 mob), which acts by blocking the influx of T cells into the challenge site (Chisholm et al., Eur. J. Immunol., 23, pp. 682-88 (1993)).

These data show that a soluble LT-β-R fusion protein which acts as a LT-β-R blocking agent in vitro can also effectively inhibit a Th1 cell-mediated immune response when administered to an animal. The LT-β-R blocking agents of this invention identified in vitro can be tested using this ear

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swelling assay, or other DTH assays such as those described above, to select additional LT- $\beta$ -R blocking agents that will be useful for reducing the severity of Th1 cell-associated immune responses in vivo.

LT-β-R Blocking Agents do not Inhibit a Th2 Cell-Mediated (Humoral) Immunological Response

As shown above, the LT- $\beta$ -R blocking agents of this invention can inhibit a Th1 cell-mediated effector mechanism such as contact delayed type hypersensitivity (FIG. 5). This Th1 cell-mediated response is inhibited without significantly affecting Th2 cell-dependent responses. The differential effect of LT- $\beta$ -R blocking agents on Th1 cell-mediated immune responses was shown by monitoring a Th2-cell dependent immune response—such as a primary antibody response and isotype switching—in the presence of an LT- $\beta$ -R blocking agent.

Mice were injected five times over the course of a ten day period with either soluble LT-β-R fusion protein (mLT-β-R-Fc; Example 2) or control IgG fusion protein (LFA3-Fc), or were left untreated. After the second injection, all mice were injected in the base of the tail with 100 μl of complete Freund's adjuvant containing 100 μg of ovalbumin. After 11 days, primary serum anti-ovalbumin-specific antibody titers were analyzed using an ELISA specific for IgG1, IgG2a and IgM isotynes.

FIG. 6 shows the effect of the mouse LT-β-R blocking agent mLT-βR-Fc on serum anti-ovalbumin antibody production in mice immunized with ovalbumin (Example 9). Administering the LT-β-R blocking agent does not significantly affect primary antibody titers following ovalbumin immunization. By comparison, interfering with CD40 ligand-induced CD40 receptor signalling completely blocks the anti-gen-specific IgG response in mice (Renshaw et al., J. Exp. Med., 180, pp. 1889-1900 (1994)). CD40 is another ligand/receptor pair in the TNF family.

Total immunoglobulin production and maturation is clearly Th2 cell-dependent. However, there is also evidence that the Th1 cytokine IFN-γ participates but is not absolutely required for the switch to the IgG2a subclass (Huang et al., Science, 259, pp. 1742-45 (1993)). The LT-β-R blocking agent mLT-β-R-Fc did not inhibit the IgG2a switch in these experiments. It is possible that the LT-β-R blocking agents of this invention do not block this humoral aspect of a Th1 cell-mediated response. In addition, the proliferatory responses of lymphocytes from the mLT-β-R-Fc-treated mice were not decreased (Example 10; FIG. 7).

These experiments indicate that a therapy based on administering the LT- $\beta$ -R blocking agents of this invention will not adversely affect Th2 dependent antibody production functions of an immune response. The normal pattern of antibody response illustrated in FIG. 6 also indicates that an intensive treatment with soluble mLT- $\beta$ -R-Fc was not toxic to the mice, further indicating the useful therapeutic nature of the compositions and methods set forth in this invention.

### T Helper Cell-Mediated Diseases

Many organ-specific autoimmune conditions appear to involve pathological Th1 response. These data have been reviewed (Modlin and Nutman, Current Opinion in Immunol., 5, pp. 511-17 (1993); Romagnani et al., Ann. Rev. Immunol., 12, pp. 227-57 (1994)). These organ-specific autoimmune conditions include: multiple sclerosis, insulindependent diabetes, sympathetic ophthalmia, uveitis and psoriasis.

Insulin-dependent diabetes mellitus is an autoimmune disease in which the insulin-producing beta pancreatic cells are destroyed by leukocytes infiltrating into the islets of Langer20

hans. Diabetes can be rapidly induced in neonatal nonobese diabetic (NOD) mice by transferring activated prediabetic splenocytes. Recently, Th1- or Th2-like cells, otherwise genetically similar, were transferred into neonatal NOD mice. Only the Th1 cells rapidly induced diabetes—and in almost all recipients (Katz et al., Science, 268, pp. 1185-88 (1995)). This indicates that the LT-β-R blocking agents of this invention—which can inhibit the effects of a Th1 cell-mediated immune response in vivo—will be useful for treating or preventing insulin-dependent diabetes.

Several systemic autoimmune diseases, including various arthritides, are Th1 cell-associated. Rheumatoid arthritis and Sjorgren's syndrome both appear to involve Th0 and Th1 cells. In contrast, systemic lupus erythematosus (SLE) appears to have an aberrant Th0/Th2 dominated response.

Some chronic inflammatory diseases also appear to have an aberrant Th1 type response, including inflammatory bowel disease, sarcoidosis of the lung and allograft rejection. Inflammatory bowel disease (IBD) in humans encompasses at least two categories, ulcerative colitis and Crohn's disease. Both disorders are believed to result from immunopathologic autoimmune like disorders. In some mouse models of IBD, it is clear that some agents that block Th1 responses can block the development or course of the disease (F. Powrie et al, Immunity 1:553 1994). It is possible that inhibition of the Th1 component of the immune response would have beneficial effects in human IBD. Many models of IBD have been described and have been reviewed (C. Elson et al, Gastroenterology 109:1344 1995). There are at least three groups of models, chemically induced, polymer/microbial-induced and immunological types using mutant mice.

In one commonly used polymer/microbial-induced model, dextran sulphate solution is introduced into the drinking water of mice and upon ingestion, the epithelial lining of the gut is irritated leading to a profound immune response to the damage. The animals develop colitis which is manifested as diarrhea, blood in the stool, loss of body weight and a shortening of the colon length due to expansion of the colon wall.

This model induces a left-sided colitis and epithelial dysplasia which can lead to cancer which are features of ulcerative colitis.

A second model consists of transplanting a selected set of CD4 T cells into a scid mouse, i.e. a mouse lacking T and B cells (F. Powrie et al International Immunology 5:1461-1471 1993; Morrissey et al, J. Exp. Med. 178:237 1993). As the selected cells, called CD45RB\*\* cells expand and reconstitute the scid mouse, the normal mechanisms preventing the appearance of autoreactive T cells are dysfunctional and autoreactive cells develop. In rats, cells reactive with many organs are observed whereas, in the mouse, the reactivity occurs primarily in the bowel. Agents which either alter the way the autoreactive cells expand and develop or agents which can block the ability of the cells to attack the bowel will 55 have efficacy in this model. Moreover, as this model at least partially mimics the pathological development of autoreactive immune system cells, treatments that block this model may actually have disease modifying behavior in humans. In this model, antibodies to TNF can block disease (F. Powrie et al Immunity 1, 552 1994) and these antibodies have been found to be efficacious in the treatment of human disease (H. M. van Dullemen et al. Gastroenterology 109:109 1995). Thus, this model can forecast which agents may be therapeutically useful in IBD. Moreover, as the CD45RB model is an example of a Th1 mediated disease process and indeed in rats, the model leads to disease in many organs, the efficacy of  $LT\beta R$ -Ig in this system indicates that  $LT\beta R$ -Ig or other means

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of blocking the LTβR interactions with its ligand may be beneficial in a wide range of related immunological diseases.

In general, the exact contribution of auto-antibodies versus specific T cells has not been delineated in these autoimmune diseases. Cellular responses may make major contributions to 5 pathogenicity in those systemic autoimmune diseases currently thought to be primarily antibody driven, e.g. the various arthritides.

The normal immune response to some pathogenic infectious agents also elicits a Th1 response that can become 10 excessive and present itself as a medical problem. Examples of granulomatous reactions (a class of DTH response described above) that lead to severe medical problems include leprosy, granuloma formation in the lungs of tuberculosis patients, sarcoidosis and schistosomiasis (Roitt et al., 15 Immunology, pp. 22.5-6 (Mosby-Year Book Europe Ltd., 3d ed. 1993). Psoriasis is also likely to be mediated by Th1 cells.

Cytolytic T cells, i.e. CTLs (CD8 positive T cells) may also subdivide into Th1- and Th2-like populations. Therefore it is possible that much of what is known regarding the Th groups will also apply to CD8+ cells, which are primarily involved in anti-viral and grafted tissue rejection responses.

#### Treatments Using LT-β-R Blocking Agents

The compositions of this invention will be administered at an effective dose to treat the particular clinical condition addressed. Determination of a preferred pharmaceutical formulation and a therapeutically efficient dose regiment for a given application is well within the skill of the art taking into consideration, for example, the condition and weight of the patient, the extent of desired treatment and the tolerance of the patient for the treatment. Doses of about 1 mg/kg of a soluble LT-β-R are expected to be suitable starting points for optimizing treatment doses.

Determination of a therapeutically effective dose can also be assessed by performing in vitro experiments that measure the concentration of the LT- $\beta$ -R blocking agent required to coat target cells (LT- $\beta$ -R or LT ligand-positive cells depending on the blocking agent) for 1 to 14 days. The receptor-ligand binding assays described herein can be used to monitor the cell coating reaction. LT- $\beta$ -R or LT ligand-positive cells can be separated from activated lymphocyte populations using FACS. Based on the results of these in vitro binding assays, a range of suitable LT- $\beta$ -R blocking agent concentrations can be selected to test in animals according to the assays described herein.

Administration of the soluble LT-β-R molecules, anti-LT ligand and anti-LT-β-R Abs of this invention, alone or in combination, including isolated and purified forms of the antibodies or complexes, their salts or pharmaceutically so acceptable derivatives thereof, may be accomplished using any of the conventionally accepted modes of administration of agents which exhibit immunosuppressive activity.

The pharmaceutical compositions used in these therapies may also be in a variety of forms. These include, for example, solid, semi-solid and liquid dosage forms such as tablets, pills, powders, liquid solutions or suspensions, suppositories, and injectable and infusible solutions. The preferred form depends on the intended mode of administration and therapeutic application. Modes of administration may include oral, parenteral, subcutaneous, intravenous, intralesional or topical administration.

The soluble LT- $\beta$ -R molecules, anti-LT ligand and anti-LT- $\beta$ -R Abs of this invention may, for example, be placed into sterile, isotonic formulations with or without cofactors which 65 stimulate uptake or stability. The formulation is preferably liquid, or may be lyophilized powder. For example, the

soluble LT- $\beta$ -R molecules, anti-LT ligand and anti-LT- $\beta$ -R Abs of this invention may be diluted with a formulation buffer comprising 5.0 mg/ml citric acid monohydrate, 2.7 mg/ml trisodium citrate, 41 mg/ml mannitol, 1 mg/ml glycine and 1 mg/ml polysorbate 20. This solution can be lyophilized, stored under refrigeration and reconstituted prior to administration with sterile Water-For-Injection (USP).

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The compositions also will preferably include conventional pharmaceutically acceptable carriers well known in the art (see for example Remington's Pharmaceutical Sciences, 16th Edition, 1980, Mac Publishing Company). Such pharmaceutically acceptable carriers may include other medicinal agents, carriers, genetic carriers, adjuvants, excipients, etc., such as human serum albumin or plasma preparations. The compositions are preferably in the form of a unit dose and will usually be administered one or more times a day.

The pharmaceutical compositions of this invention may also be administered using microspheres, liposomes, other microparticulate delivery systems or sustained release formulations placed in, near, or otherwise in communication with affected tissues or the bloodstream. Suitable examples of sustained release carriers include semipermeable polymer matrices in the form of shaped articles such as suppositories or microcapsules. Implantable or microcapsular sustained release matrices include polylactides (U.S. Pat. No. 3,773, 319; EP 58,481), copolymers of L-glutamic acid and ethyl-L-glutamate (Sidman et al., Biopolymers, 22, pp. 547-56 (1985)); poly(2-hydroxyethyl-methacrylate) or ethylene vinyl acetate (Langer et al., J. Biomed. Mater. Res., 15, pp. 167-277 (1981); Langer, Chem. Tech., 12, pp. 98-105 (1982)).

Liposomes containing soluble LT-β-R molecules, anti-LT ligand and anti-LT-β-R Abs of this invention, alone or in combination, can be prepared by well-known methods (See, e.g. DE 3,218,121; Epstein et al., *Proc. Natl. Acad. Sci. U.S.A.*, 82, pp. 3688-92 (1985); Hwang et al., *Proc. Natl. Acad. Sci. U.S.A.*, 77, pp. 4030-34 (1980); U.S. Pat. Nos. 4,485,045 and 4,544,545). Ordinarily the liposomes are of the small (about 200-800 Angstroms) unilamellar type in which the lipid content is greater than about 30 mol. % cholesterol. The proportion of cholesterol is selected to control the optimal rate of soluble LT-β-R molecule, anti-LT ligand and anti-LT-β-R Ab release.

The soluble LT-β-R molecules, anti-LT ligand and anti-LT-β-R Abs of this invention may also be attached to liposomes containing other LT-β-R blocking agents, immunosuppressive agents or cytokines to modulate the LT-β-R blocking activity. Attachment of LT-β-R molecules, anti-LT ligand and anti-LT-β-R Abs to liposomes may be accomplished by any known cross-linking agent such as heterobifunctional cross-linking agents that have been widely used to couple toxins or chemotherapeutic agents to antibodies for targeted delivery. Conjugation to liposomes can also be accomplished using the carbohydrate-directed cross-linking reagent 4-(4-maleimidophenyl)butyric acid hydrazide (MPBH) (Duzgunes et al., J. Cell. Biochem. Abst. Suppl. 16E 77 (1992)).

Advantages of Therapeutic Compositions Comprising LT-  $\beta\textsc{-R}$  Blocking Agents

The LT-β-R blocking agents of this invention are capable of selectively inhibiting Th1 and not Th2 cell-dependent immune effector mechanisms. LT-β-R blocking agents will be useful in treating conditions that are exacerbated by the activities of Th1-type cytokines (e.g., IL-2 and IFN-γ). Because Th1 cytokines can inhibit Th2 cell-dependent responses, LT-β-R blocking agents may also indirectly stimulate certain Th2 cell-dependent responses that are normally inhibited by Th1-induced cytokine cascades.

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The ability to selectively suppress Th1 (or indirectly stimulate Th2) cell responses will be useful for treating abnormalities in diverse cell-mediated immune responses including various autoimmune and chronic inflammatory conditions, antigen tolerance, and cellular rejection of tissue grafts and organ transplants.

As discussed above, treatment of Th1 cell-based immunological conditions generally employs immunomodulatory and immunosuppressive agents which have pleiotropic effects on a wide variety of cell types and immunological responses. These non-specific immunosuppressive agents are generally required in high and often cytotoxic doses that cause adverse side effects.

The ability to shift the character of an immunological response is supported in the recent study of mouse diabetes discussed above (Katz et al., Science, 268, pp. 1185-88 (1995)), and in an allogeneic transplant model (Sayegh et al., J. Exp. Med., 181, pp. 1869-74 (1995)). In the latter study, a fusion protein that blocks the CD28-B7 T cell costimulatory pathway was shown to induce renal graft tolerance. The tolincrease in Th2 cytokines in vivo. These data indicate that the LT-β-R blocking agents of this invention will be useful in suppressing cellular rejection of tissue grafts and organ transplants by inhibiting Th1 cell-mediated cytokine release.

The LT-B-R blocking agents of the compositions and meth- 25 ods of this invention can be modified to obtain a desirable level of LT- $\beta$ -R signalling depending on the condition, disorder or disease being treated. It is envisioned that the absolute level of LT-β-R signalling can be fine-tuned by manipulating the concentration and the affinities of the LT-β-R blocking 30 agents for their respective molecular targets.

For example, in one embodiment of this invention, compositions comprising soluble LT-B-R molecules are administered to a subject. The soluble LT-B receptor can effectively compete with cell surface LT-B receptors for binding surface 3 LT ligands. The ability to compete with surface LT ligands depends on the relative concentrations of the soluble and the cell surface LT-\beta-R molecules, and on their relative affinities for ligand binding.

Soluble LT-B-R molecules harboring mutations that 40 increase or decrease the binding affinity of that mutant soluble LT-β-R with surface LT ligand can be made using standard recombinant DNA techniques well known to those of skill in the art. Large numbers of molecules with sitedirected or random mutations can be tested for their ability to act as LT-β-R blocking agents using routine experimentation and the techniques described herein.

Similarly, in another embodiment of this invention, antibodies directed against either the LT-β receptor or one or more of the LT ligand subunits function as LT-β-R blocking agents. The ability for these antibodies to block LT-β receptor signalling can be modified by mutation, chemical modification or by other methods that can vary the effective concentration or activity of the antibody delivered to the subject.

The ability to diminish LT-\beta-R signalling without completely inhibiting it may be important for establishing or 55 maintaining reduced levels of LT-β-R signalling that support normal immune function while inhibiting Th1-cell mediated responses which are exaggerated or abnormal.

Disruption of the LT-a gene in a mouse leads to aberrant peripheral lymphoid organ development (De Togni et al., Science, 264, pp. 703-7 (1994)). Such mice lacked lymph nodes and their spleens lacked the usually clear demarcation between T and B cell-rich regions in the follicles. We believe that this phenotype is associated with loss of surface LTinduced LT-β-R signalling because similar phenotypes have not been observed by modulating TNF-R activity. The ability to selectively or to partially block the LT-8-R pathway may

thus be useful in treating abnormal lymphoid organ development associated with mis- or over-expression of signalling by the LT-β-R pathway.

Some Thi -associated reactions are critical components of a number of cell-mediated immune responses (Romagnani. S., Ann. Rev. Immunol., 12, pp. 227-57 (1994)), and absolute inhibition of Th1 cell activity may not be desirable in certain circumstances. For example, a mouse can effectively resist a parasitic infection when a good Th1 response can be mounted. Infectious agents such as Listeria and Toxoplasma also elicit strong Th1-type responses. In humans, mycobacterium tuberculosis responses appear to be Th1-based. Leishmaniasis pathogenicity correlates with responses similar to the Th1 responses characterized in mouse (Reed and Scott, Current Opinion in Immunol., 5, pp. 524-31 (1993)).

The ability to influence the level of Th1 inhibition by blocking LT-β-R signalling may be important in maximizing the beneficial results which can be achieved by treatments with the LT-β-R blocking agents of this invention.

The following are examples which illustrate the soluble erance correlated with a decrease in Th1 cytokines and an 20 LT-β receptors, anti-LT ligand and anti-LT-β-R antibodies of this invention and the methods used to characterize them. These examples should not be construed as limiting: the examples are included for purposes of illustration and the present invention is limited only by the claims.

#### EXAMPLE 1

Preparation of Soluble Human LT-β Receptors as Immunoglobulin Fc Fusion Proteins

The sequence of a human cDNA clone isolated from a library of human 12p transcribed sequences derived from a somatic cell hybrid (Baens et al., Genomics, 16, pp. 214-18 (1993)), was entered into GenBank and was later identified as the sequence which encodes human LT-B-R. The sequence of this full-length human LT-β-R cDNA clone has been available since 1992 as GenBank entry L04270.

The extracellular domain of LT-β-R up to the transmembrane region (FIG. 11 was amplified by PCR from a cDNA clone using primers that incorporated NotI and SalI restriction enzyme sites on the 5' and 3' ends, respectively (Browning et al., J. Immunol., 154, pp. 33-46 (1995)). The amplified product was cut with Notl and Sall, purified and ligated into a NotI-linearized vector pMDR901 along with a SalI-NotI fragment encoding the Fc region of human IgG1. The resultant vector contained the dihydrofolate reductase gene and the

LT-β-R-Fc fusion protein driven by separate promoters.

The vector was electroporated into CHO dhfr cells and methotrexate-resistant clones were isolated as per standard procedures. The LT-β-R-Fc was secreted into the medium and an ELISA assay was used to select for cell lines producing the highest level of the receptor fusion protein. A high-producing cell line was grown to large numbers and the conditioned medium collected. The pure LT-β receptor fusion protein was isolated by Protein A Sepharose Fast Flow affinity chromatography (Pharmacia).

#### EXAMPLE 2

### Preparation of Soluble Murine LT-β Receptors as Immunoglobulin Fc Fusion Proteins

A complete cDNA clone of the mLT-β-R was prepared by ligating a 5' Notl/ApaLI and 3' ApaLI/Notl fragments from two partial cDNA isolates into the Notl site of pCDNA3 (In Vitrogen, San Diego, Calif.). The sequence of this cDNA clone is accessible as GenBank entry U29173. No coding sequence differences were noted when compared with another sequence entry for mLT-B-R found in GenBank entry L38423.

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A soluble mLT-β-R (hIgG1) fusion protein was prepared by PCR amplification of the full length mLT-β-R cDNA clone as a template and the primers 5' AACTGCAGCGGCCGC-CATGCGCCTGCCC 3' and 5' GACTTTGTCGACCAT-TGCI'CCTGGCTCTGGGGG 3'. The amplified product was 5 purified and cut with NotI and SalI and ligated with a SalI/ NotI human IgG1 Fc fragment into NotI-linearized and phosphatase-treated SAB132 to form JLB 122. For stable expression, the NotI cassette containing the mLT-β-R-Fc fragment was transferred into the NotI site of pMDR901 forming 10 PSH001 and the vector was transfected into CHO cells as described (Browning et al., J. Immunol., 154, pp. 33-46 (1995)). Cell clones secreting mLT-β-R-Fc were identified by ELISA analysis. The purified receptor fusion protein was isolated from CHO cell supernatants by Protein A Sepharose 15 Fast Flow chromatography (Pharmacia).

#### **EXAMPLE 3**

Use of Soluble Human LT-\u03b3-R-Fc to Block LT-\u03b3 Receptor-Ligand Interactions

Soluble hI.T-β-R-Fc was tested for its ability to block LT ligand binding to the LT-\$\beta\$ receptor in the tumor cell cytotoxicity assay described above. In this assay, a soluble form of the LT ligand (hLT- $\alpha 1/\beta 2$ ), which activates LT- $\beta$ -R signalling, is used to kill human tumor cells. Inhibitors of LT-β-R signalling can reduce LT-β-R-induced tumor cell cytotoxicity.

Soluble LT-α1/β2 ligands comprise truncated or modified LT-β subunits lacking a functional transmembrane domain. Soluble LT-α1/β2 ligands bind to and stimulate LT-β-R signalling as well as surface forms of LT ligand (Browning et al., J. Immunol., 154, pp. 33-46 (1995)).

Serial dilutions of hLT-\alpha1/\beta2, hTNF or hLT-\alpha were prepared in 0.05 ml in 96 well plates and 5000 trypsinized HT29 cells (ATCC) added in 0.05 ml media containing 80 U/ml (antiviral units) of hu-IFN-y. After 4 days, mitochondrial reduction of the dye MTT was measured as follows: 10 Ill of MTT was added and after 3 hours, the reduced dye dissolved with 0.09 ml of isopropanol with 10 mM HCl, and the O.D. measured at 550 nm. Soluble receptor forms or pure human IgG were added in 10 µl prior to the addition of the cells to give a final concentration of 5 μg/ml.

Table 1 compares the ability of hLT-β-R-Fc and p55-TNF-R-Fc chimeras (with human IgG as a control) to block the 45 inhibitory effects of various soluble TNF and LT ligands on HT29 tumor cell growth.

### TABLE I

Ability of LT-β-R and p55-TNF-R Immunoglobulin Fusion Proteins to Block the Inhibitory Effects of Various TNF and LT Ligands on HT29 Growth Concentration of Cytotoxic As (ng/ml) Resulting in 50% Growth Inhibition

	In the Presence of						
Cytotoxic Agent	hu-IgG control	p55-TNF-R-Fc	LT-β-R-Fc				
TNF	0.08	>10 <sup>b</sup>	0.08				
LT-a	3	>1000	3				
LT-α1/β2	5	5	>200				

<sup>\*</sup>Each cytotoxic agent was pre-mixed with the Ig fusion proteins for 10 minutes prior to addition to the cells. The final concentration of fusion protein

was 5 μg/ml. <sup>b</sup>Higher concentrations were not tested.

The data in Table 1 indicate that the soluble human LT-β-R fusion protein (hLT-β-R-Fc) can effectively block the inter26

action between LT ligand (LT-α1/β2) and cell surface LT-β receptors and is thus a LT-β-R blocking agent according to

As expected, the soluble TNF-R fusion protein (p55-TNF-R-Fc) completely blocked TNF-induced growth inhibition by binding to TNF and preventing its interaction with surface TNF receptors. This soluble TNF receptor had no effect on LT ligand-mediated anti-proliferative effects. In contrast, the LTβ-R-Fc blocked LT ligand-induced cytotoxic effects but not those of TNF or LT-α. Thus soluble human LT-β-R fusion proteins do rot interfere with TNF-R activation by TNF and LT-α ligands.

### **EXAMPLE 4**

Use of Soluble Murine LT-β-R-Fc to Block Mouse LT-β Receptor-Ligand Interactions

A soluble murine I.T-β receptor coupled to a human IgG1 20 Fc domain (mLT-β-R-Fc; see Example 2) was tested for its ability to block LT-\$\beta\$ receptor-ligand interaction in mouse using a cytotoxicity assay on mouse cells (FIG. 2). The cytotoxicity assay was performed on WEHI 164 cells using essentially the same procedure as was used in the HT29 cell assay described in Example 3 (see also Browning and Ribolini, J. Immunol., 143, pp. 1859-67 (1989)).

FIG. 2 shows the effects of mLT-B-R-Fc on ligand-induced LT-β-R signalling in mouse WEHI 164 cells. As this assay indicates, WEHI 164 cells are killed by treating them with 30 LT- $\alpha/\beta$  ligand at concentrations ranging from about 1 to 100 ng/ml. Soluble mLT-β-R-Fc (10 μ/ml) blocks the LT ligandactivated cell death. Adding a soluble mouse p55-TNF-R-Fc fusion protein or IgG control antibodies (each at 10 µ/ml) had little or no effect on blocking cell death. These data show that the mLT-β-R-Fc fusion protein can effectively compete with surface LT-β-R molecules for LT ligand binding. These data also show that LT-α/β-induced cytotoxicity is LT-β-R-mediated and can be inhibited by soluble mLT-β-R-Fc, which acts as a I.T-β-R blocking agent according to the present inven-40 tion.

#### EXAMPLE 5

Use of Anti-Human LT-β-R Antibodies to Block LT-β Receptor-Ligand Interactions

Mouse monoclonal antibodies (mAbs) directed against the human LT-β receptor were prepared by intraperitoneal immunization of RBF mice repetitively with a CHO cell-derived hLT-β-R-Fc fusion protein attached to Protein A Sepharose beads in the absence of adjuvant. Animals were finally boosted with soluble hLT-β-R-Fc, both i.p. and i.v., spleen cells were fused via classical protocols and hybridoma supernatants were screened by ELISA (Ling et al., J. Interferon and 55 Cytokine Res., 15, pp. 53-59 (1995)). Hybridoma supernatants were screened further for their ability to block binding of activated II-23 hybridoma cells--which express surface LT-α1/β2—to LT-β-R-Fc coated plates in a cell panning assay. Pure mAbs were prepared by Protein A Sepharose purification (Pharmacia) of lgG from culture supernatants.

To determine whether an anti-LT-β receptor mAb could block LT-β-R signalling initiated by the binding of soluble LT, a tumor cell cytotoxicity assay was performed using WiDr human carcinoma cells. In the cytotoxicity assays, serial dilutions of LT-\alpha 1/\beta 2 were prepared in 0.05 ml in 96 well plates and 10  $\mu$ l of a 100  $\mu$ g/ml solution containing either control mouse IgG1 mAb or the anti-LT-β receptor mAb was added.

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5000 trypsinized WiDr cells (ATCC) were then added to each well in 0.05 ml of media containing 50 U/ml (antiviral units) of hu-IFN-y. After 4 days, mitochondrial reduction of the dye MTT was measured as follows: 10 µl of MTT was added and after 3 hours, the reduced dye dissolved with 0.09 ml of 5 isopropanol with 10 mM HCl, and the O.D. measured at 550 nm. The amount of purple color is proportional to the amount of cell growth.

FIG. 3 shows that the anti-LT-β-R mAb BDA8 acts as a LT-B-R blocking agent according to this invention. Human 10 WiDr carcinoma cells stop growing in the presence of IFN y and soluble LT-\alpha1/\beta2 ligand (from about 0.05 to 50 ng/ml). An IgG1 control antibody (10 µg/ml) has no effect on this growth inhibition. In contrast, the anti-LT-β-R mAb BDA8 (10 µg/ml) restores the ability of WiDr cells to grow in the 15 presence of soluble I.T-α1/β2 ligand.

#### EXAMPLE 6

#### Use of Anti-Human LT-\( \beta \) Antibodies to Block Receptor-Ligand Interactions

Anti-human LT-\(\beta\) mAbs were prepared by immunizing RBF mice with washed protein A Sepharose-9E10-rLT-B beads containing about 1-2 μg of human recombinant LT-β in 25 CFA, and followed with one boost of the same material in IFA. Eight weeks after the last boost, mice were given i.v. 30 µg of purified soluble rLT-β (acid eluted off the 9E10 resin) and 20 µg of the same soluble material 2 days later. One day after the second i.v. boost, the spleen cells were fused using 30 mLT-\$\beta\$ mAb could effectively block soluble LT-\$\beta\$ receptor classical protocols to create mAbs. Hybridoma supernatants were screened directly by ELISA or by FACS staining of PMA-activated II-23 cells. Pure mAbs were prepared by Protein A Sepharose Fast Flow purification of IgG from culture supernatants (Pharmacia).

A FACS assay was used to select antibodies directed against LT-β that can effectively block the binding of soluble LT-α/β ligand to LT-β receptors on the surface of a cell—thus mimicking the interaction between two cells in vivo. In this assay, soluble human LT-β-R-Fc (2 µg/ml) was allowed to 40 bind to surface LT ligand on PMA-activated II-23 cells (Browning et al., J. Immunol., 154, pp. 33-46 (1995)) in the presence of increasing concentrations of the test anti-LT- $\beta$ mAb (0.02-20 μg/ml). The cells were washed and the bound LT-B-R-Fc was detected by reaction with phycoerythrin-la- 45 belled donkey anti-human IgG. The amount of bound fluorescent label was determined by FACS analysis and the mean fluorescence intensity was plotted.

FIG. 4 shows the results of a FACS assay which measured the ability of the anti-LT-β mAb B9 to block LT-β receptor- 50 ligand interaction as described above. This experiment shows that the anti-LT-\$ mAb B9 (0.02-5 µg/ml) can specifically and effectively compete for cell surface LT ligand binding with soluble LT-β-R fusion protein (2 µg/ml) and thus qualifies as an LT-β-R blocking agent according to this invention.

### EXAMPLE 7

### Use of Anti-Mouse LT-a/B Antibodies to Block Receptor-Ligand Interactions

Soluble mouse LT-o/a complexes were prepared as described above for the human soluble LT-o/B complexes. The soluble mouse LT-â subunit was made based on sequence information previously described (Lawton et al., J. Immunol., 65 154, pp. 239-46 (1995)). Soluble murine LT-a/â complexes were expressed using the baculovirus/insect cell expression

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system and the LT-a/a complexes were isolated by affinity chromatography using human p55 TNF-R and

LT-â-R columns essentially as described above for the expression and purification of human LT-o/a complexes. Armenian hamsters were immunized with purified soluble murine I.Tα/β complex essentially as described in Example 6. Hamster spleen cells were fused to the mouse P3X hybridoma cell line as described (Sanchez-Madrid et al., Methods of Enzymology, 121, pp. 239-44 (1986)). Hybridomas were grouped as antimLT-â or anti-mLT-α on the basis of their binding characteristics to either the LT-a/a complex or to LT-a alone, respectively. Hybridoma cells were expanded and the antibodies purified from the culture supernatant using Protein A affinity chromatography (Pharmacia).

To assess whether hamster anti-mouse LT-α and LT-β mAbs could block LT ligand binding to mLT-â-R, we used TIMI-4 cells (ATCC), a murine T cell line that expresses surface LT ligand following PMA activation for 7 hours.  $_{20}$  Hamster anti-mLT- $\alpha$  or anti-mLT- $\beta$  mAbs were preincubated with the cells for 30 minutes at 4 C and then washed twice. The washed cells were incubated with 1 µg/ml of mLT-β-R-Fc at 4 C. After 30 minutes, cells were washed free of unbound mLT-β-R-Fc and then incubated for 30 minutes with 10 μg/ml of phycoerythrin-labelled donkey anti-human IgG to detect bound mLT-β-R-Fc. The amount of bound fluorescent label was determined by FACS analysis and the mean fluorescence intensity was calculated.

Using this analysis, it was found that the hamster antibinding to T cell surface LT ligand. The results are shown in Table 2.

TABLE 2

#### Ability of Anti-mouse LT-\$ Monoclonal Antibody To Inhibit mLT-B-R-Fc Binding To Murine Surface LT Ligand

		Anti-r (BB)	nLT-â · F6)	Anti-mLT-a (AF · B3)	
)	Conc. mAb (µg/ml)	MFCIb	% lah <sup>e</sup>	MFCI <sup>b</sup>	% Inh
	O <sup>a</sup>	6	_	6	
	0	85	0	85	0
	0.01	<b>7</b> 1	18	84	2
	0.03	67	23	86	0
5	0.1	51	44	86	0
	0.3	36	62	84	2
	1.0	29	71	89	0
	3.0	17	86	88	0
	10.0	11	94	95	0
	30.0	10	95	94	0
)	100.0	8	98	92	0

no receptor added

#### **EXAMPLE 8**

### LT-β-R Blocking Agents Inhibit Th1-Mediated Contact Hypersensitivity in Mouse

20 g female Balb/c mice (Jackson Laboratories, Bar Harbor, Me.) were initially sensitized by applying 25 µL of 0.5% 2,4-dinitrofluorobenzene (DNFB) in 4:1 v/v acetone:olive oil onto the bottom of each hind foot. Twenty-four hours after the initial sensitization, we again sensitized each mouse with 25 μl of the same solution. Sensitizations were performed while restraining the unanesthetized mouse. On day 5 (120 hours

Mean Fluorescence Channel No.

Percent Inhibition

29

after the initial sensitization), we anesthetized the mice with 90:10 mg/kg ketamine:xylazine (i.p.) and applied a sub-irritant dose of 10  $\mu$ l of 0.2% DNFB to the dorsal and ventral surfaces of the left ear. The right ear received a similar application of the 4:1 v/v acetone:olive oil vehicle.

Four hours after challenging the immune response, we administered increasing concentrations of the mLT-β-R-Fc (0.08-5.0 mg/kg; Example 2) to the mice in 0.1 ml of phosphate buffered saline (PBS) by intravenous injection. Injections of PBS buffer alone, or 20 mg/kg of a human IgG fusion protein (LFA3-Fc) (Miller et al., J. Exp. Med., 178, pp. 211-22 (1993)) served as negative controls. Injection of 8 mg/kg of an anti-VLA4-specific mAb (PS/2 mAb; Chisolm et al., Eur. J. Immunol., 23, pp. 682-88 (1993))—which is known to 15 inhibit CHS by blocking the influx of T cells into the challenge site -- served as a positive control. Groups of four to eight mice were treated per concentration of antibody.

Twenty four hours after challenge, mice were again anesthetized with ketamine:xylazine and the ear thickness of both 20 ears measured with an engineer's micrometer to an accuracy of 10<sup>-4</sup> inches. The ear swelling response for each mouse was the difference between its control- and DNFB-challenged ear thickness. Typical uninhibited ear swelling responses were 95-110×10<sup>-4</sup> inches. Inhibition of the ear swelling response was judged by comparison of treated groups with their negative control group. Statistical significance of the difference among treatment groups was evaluated using one-way analy-Honestly Significant Difference (JMP, SAS Institute) using p<0.05.

FIG. 5 shows that administering increasing concentrations of mLT-β-R-Fc causes a significant reduction in the ear swelling response of DNFB-treated mice compared to uninhibited 35 DNFB-treated control animals (PBS and LFA3-Fc). Soluble LT-β-R (from about 1-5 mg/kg) can block this contact DTH reaction as effectively as the inhibitor anti-VLA4-specific mAb. The portion of this ear swelling assay which is not inhibited probably results from "nonspecific" granulocyte 40 infiltration.

### **EXAMPLE 9**

### Dextran Sulphate Solution (DSS) IBD Model

Mice were treated as defined in the figure legend with hLFA3-Ig, i.e. a control Ig fusion protein or mLTβR-Ig by intraperitoneal injection. At day 0, the drinking water was changed to a 5% dextran sulphate solution and the mice were 50 left on this fluid for one week. One week later, i.e. 2 weeks after starting DSS administration, mice were sacrificed and the weight change and the large bowel length (from anus to cecum) measured. FIG. 6 shows the weight changes and bowel lengths after various treatments. The shortened bowel 55 length as well as the weight loss is indicative of IBD. It was seen that mLTBR-Ig treatment dramatically prevents the colon shortening and weight loss indicating efficacy.

#### FIG. 6:

The weight change observed 14 days post initiation of DSS ' in the drinking water following various treatments. Veh=vehicle, LTBr and LFA3 refer to mLTβR-Ig and hLFA3-Ig fusion proteins that were administered by intraperitoneal injection of 100 µg 1 week prior to adding DSS, at the point of 65 DSS administration and 1 week later (i.e. 3 injections at -1, 0 and I week). There were 10 animals per group.

30

FIG 7:

The colon length at 14 days post DSS administration following the various treatments described in 6.

#### **EXAMPLE 10**

#### CD45RBhi/Scid Model of IBD

CD4 positive T cells are isolated from C.B-17 female mice using magnetic bead technology as described earlier (F. Powrie et al International Immunology 5:1461-1471 1993). The CD4 cells depleted of CD8 positive T cells, B cells and monocytes were then sorted by fluorescence activated cell sorting into CD45RBhigh and CD45RBlow populations also essentially as described above. 5x105 CD45RB cells were injected intravenously into female C.B-17 scid mice and the body weight of the mice was followed. It can be seen that animals reconstituted with CD45RBlow cells gained weight in a normal manner. In contrast, animals receiving CD45RBhigh cells eventually lost weight and at 10 weeks were near death. When the control mice had lost roughly 20% of their starting weight, the mice were sacrificed and various organs analyzed by histology. Typically diseased animals looked cachectic, had diarrhea and had dramatically enlarged colons and ceca. Animals treated as described in the figure legend with hLFA3-Ig were similar to untreated animals, whereas animal treated with mLTBR-Ig had not undergone weight loss, had sis of variance followed by computation of the Tukey-Kramer 30 relatively normal sized colons and lacked the massive inflammatory infiltrates typically observed in the colon. FIG. 8 shows the time course of weight loss in CD45RBhigh injected animals treated in various ways and FIG. 9 shows the final body weights at 8 weeks post injection. The efficacy of mLTBR-lg in two very different models of IBD, i.e. the CD45RB and DSS models, represents strong evidence for a profound effect of this treatment on the immune system.

#### FIG. 8:

Time course of the body weigh following injection of CD45RB CD4 positive T cells into scid mice. Each curve represents one animal and the inscriptions in the panels refer to which cells were injected i.e.  $CD45RB^{high\ or\ low}$  and the nature of the treatment. Animal were treated weekly with 100 μg of protein injected intraperitoneally. Treatment started 2 weeks prior to the injection of the cells and continued throughout the course of the experiment.

### FIG. 9:

Mean and standard deviations of the body weights observed following various treatments at 10 weeks post transplantation (5-6 animals per group).

#### **EXAMPLE 11**

### A SRBC Model of Delayed Type Hypersensitivity

Female balb/c mice are sensitized by subcutaneous injection of 2×107 washed sheep red blood cells (SRBC) in PBS. After 5 days, mice are challenged with a injection of 1x108 SRBC in PBS into the right footpad (subplantar injection). Various times after injection into the footpad, the footpad thickness was measured with calipers. FIG. 10 shows the footpad swelling response in mice either treated by intraperitoneal injection with mLTBR-Ig. Treatment with mLTBR-Ig either at the point of sensitization or at both sensitization or challenge stages inhibited the SRBC induced DTH response.

31

gaetttgteg accattgete etggetetgg ggg

FIG. 10:

Shown is the increase in footpad thickness measured 18 h post injection with SRBC challenge. Treatments were either a negative control injection of PBS, a positive control antibody

32
PS/2 that blocks VLA4 interactions and hence cell trafficking

and mLTβR-Ig (100 µg intravenous injections) given either immediately prior to the sensitizing subcutaneous injection of SRBC, at the challenge point or at both times.

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Arg Asp Thr Val Cys Ala Thr Cys Ala Glu Asn Ser Tyr Asn Glu His
Trp Asn Tyr Leu Thr Ile Cys Gln Leu Cys Arg Pro Cys Asp Pro Val
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Met Gly Leu Glu Glu Ile Ala Pro Cys Thr Ser Lys Arg Lys Thr Gln
85 90 95
Cys Arg Cys Gln Pro Gly Met Phe Cys Ala Ala Trp Ala Leu Glu Cys
Thr His Cys Glu Leu Leu Ser Asp Cys Pro Pro Gly Thr Glu Ala Glu
115 120 125
Leu Lys Asp Glu Val Gly Lys Gly Asm Asm His Cys Val Pro Cys Lys
130 135 140
Ala Gly His Phe Gln Asn Thr Ser Ser Pro Ser Ala Arg Cys Gln Pro
145 150 155 160
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<400> SEQUENCE: 3
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Document 1-2

What is claimed is:

 A method for inhibiting lymphotoxin-β-receptor (LT-β-R) signaling in a subject having a Th1 cell-mediated autoimmune disorder comprising administering to the subject a pharmaceutical composition comprising an effective amount 5 of an LT-β-R blocking agent, and a pharmaceutically acceptable carrier, wherein the LT-β-R blocking agent comprises a soluble LT-β-R.

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- 2. The method according to claim 1, wherein the subject is a human.
- The method according to claim 1, wherein the LT-β-R blocking agent comprises a soluble LT-B-R having a ligand binding domain that can selectively bind to a surface LT
- 4. The method according to claim 3, wherein the soluble 15 thalmia, and uveitis. LT-β-R is administered in an amount sufficient to coat LT-β ligand-positive cells for 1 to 14 days.
- The method according to claim 1, wherein the LT-β-R blocking agent comprises a soluble LT-β-R fused to one or more heterologous protein domains.
- The method according to claim 5, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.
- 7. The method according to claim 5, wherein the pharmaccutical composition is administered to the subject via oral 25 administration.
- 8. The method according to claim 5, wherein the pharmaceutical composition is administered to the subject via parenteral administration.
- 9. The method according to claim 8, wherein the parenteral 30 administration is subcutaneous.
- 10. The method according to claim 8, wherein the parenteral administration is intravenous.
- 11. The method according to claim 8, wherein the parenteral administration is intralesional.
- 12. The method according to claim 5, wherein the heterologous protein domain further comprises a human immunoglobulin Fc domain.
- 13. The method according to claim 1, wherein the autoimmune disorder is selected from the group consisting of pso- 40 riasis, diabetes mellitus, multiple sclerosis, sympathetic ophthalmia, and uveitis.
- 14. The method according to claim 1, wherein the autoimmune disorder is rheumatoid arthritis.
- 15. A method for inhibiting lymphotoxin-β receptor (LT- 45 β-R) signaling in a subject having a Th1 cell-mediated autoimmune disorder comprising administering to the subject a pharmaceutical composition comprising an effective amount of an LT-β-R blocking agent comprising a soluble LT-β-R fused to one or more heterologous protein domains, 50 and a pharmaceutically acceptable carrier, wherein the soluble LT-β-R has a ligand binding domain that can selectively bind to a surface LT ligand.
- 16. The method according to claim 15, wherein the heterologous protein domain comprises a human immunoglobulin 55 Fc domain.
- 17. The method according to claim 15, wherein the soluble LT-β-R comprises a functional sequence of amino acids selected from the amino acids of SEQ ID NO: 1, wherein the functional sequence comprises the LT-β-R ligand binding 60
- 18. The method according to claim 17, wherein the heterologous domain further comprises a human immunoglobulin Fc domain.
- 19. The method according to claim 18, wherein the composition is administered to the subject at a dose of about 1 mg/kg.

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- 20. The method according to claim 18, wherein the composition is administered to the subject via oral administration.
- 21. The method according to claim 18, wherein the composition is administered to the subject via parenteral administration.
- 22. The method according to claim 21, wherein the parenteral administration is subcutaneous
- 23. The method according to claim 21, wherein the parenteral administration is intravenous.
- 24. The method according to claim 21, wherein the parenteral administration is intralesional.
- 25. The method according to claim 15, wherein the autoimmune disorder is selected from the group consisting of psoriasis, diabetes mellitus, multiple sclerosis, sympathetic oph-
- 26. The method according to claim 15, wherein the autoimmune disorder is rheumatoid arthritis
- 27. The method according to claim 15, wherein the subject is a human.
- 28. A method for inhibiting lymphotoxin-β receptor (LTβ-R) signaling in a subject having a Th1 cell-mediated autoimmune disorder comprising administering to the subject a pharmaceutical composition comprising an effective amount of an LT-\beta-R blocking agent comprising a soluble LT-β-R fused to a heterologous domain comprising a human immunoglobulin Fc domain, and a pharmaceutically acceptable caffier, wherein the soluble LT-β-R comprises a functional sequence of amino acids selected from the amino acids of SEQ ID NO: 1, wherein the functional sequence comprises the LT-β-R ligand binding domain.
- 29. The method according to claim 28, wherein the composition is administered to the subject at a dose of about 1
- 30. The method according to claim 28, wherein the com-35 position is administered to the subject via oral administration.
  - 31. The method according to claim 28, wherein the composition is administered via parenteral administration.
  - 32. The method according to claim 31, wherein the parenteral administration is subcutaneous
  - 33. The method according to claim 31, wherein the parenteral administration is intravenous.
  - 34. The method according to claim 31, wherein the parenteral administration is intralesional.
  - 35. The method according to claim 28, wherein the autoimmune disorder is selected from the group consisting of psoriasis, diabetes mellitus, multiple sclerosis, sympathetic ophthalmia, and uveitis.
  - 36. The method according to claim 28, wherein the autoimmune disorder is rheumatoid arthritis.
  - 37. The method according to claim 28, wherein the subject is a human.
  - 38. A method for inhibiting lymphotoxin-β-receptor (LTβ-R) signaling in a subject having a Th1 cell-mediated chronic inflammatory disease comprising administering to the subject a pharmaceutical composition comprising an effective amount of an LT-β-R blocking agent, and a pharmaceutically acceptable carrier, wherein the LT-\u03b3-R blocking agent comprises a soluble LT-β-R.
  - 39. The method according to claim 38, wherein the chronic inflammatory disorder is inflammatory bowel disease.
  - 40. The method according to claim 39, wherein the chronic inflammatory bowel disease is Crohn's disease or ulcerative
- The method according to claim 38, wherein the LT-β-R blocking agent comprises a soluble LT-B-R having a ligand binding domain that can selectively bind to a surface LT

Document 1-2

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- 42. The method according to claim 38, wherein the soluble LT-β-R comprises a functional sequence of amino acids selected from the amino acids of SEQ ID NO: 1, wherein the functional sequence comprises the LT-β-R ligand binding domain.
- 43. The method according to claim 38, wherein the LT- $\beta$ -R blocking agent comprises a soluble LT- $\beta$ -R fused to one or more heterologous protein domains.
- **44.** The method according to claim **43**, wherein the heterologous protein domain comprises a human immunoglobulin <sup>10</sup> Fe domain.
- 45. The method according to claim 38, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.
- 46. The method according to claim 38, wherein the pharmaceutical composition is administered to the subject via oral administration.
- 47. The method according to claim 38, wherein the pharmaceutical composition is administered to the subject via 20 parenteral administration.
- 48. The method according to claim 47, wherein the parenteral administration is subcutaneous.
- 49. The method according to claim 47, wherein the parenteral administration is intravenous.
- 50. The method according to claim 47, wherein the parenteral administration is intralesional.
- 51. The method according to claim 38, wherein the subject is a human.
- 52. A method for inhibiting lymphotoxin-β-receptor (LT-β-R) signaling in a subject having a Th1 cell-mediated autoimmune disorder or a Th1 cell-mediated chronic inflammatory disease comprising administering to the subject a pharmaceutical composition comprising an effective amount of an LT-β-R blocking agent, and a pharmaceutically acceptable carrier, wherein the LT-β-R blocking agent comprises an antibody directed against LT-β-R.
- 53. The method according to claim 52, wherein the LT- $\beta$ -R blocking agent comprises a monoclonal antibody directed against LT- $\beta$ -R.
- 54. The method according to claim 52, wherein the autoimmune disorder is selected from the group consisting of psoriasis, diabetes mellitus, multiple sclerosis, sympathetic ophthalmia, rheumatoid arthritis, and uveitis.
- 55. The method according to claim 52, wherein the chronic inflammatory disease is inflammatory bowel disease.
- 56. The method according to claim 55, wherein the chronic inflammatory disorder is selected from the group consisting of inflammatory bowel disease is Crohn's disease or ulcer- 50 ative colitis.
- 57. A method for inhibiting lymphotoxin- $\beta$ -receptor (LT- $\beta$ -R) signaling in a human subject having rheumatoid arthritis comprising administering to the human subject a pharmaceutical composition comprising an effective amount of an LT- $\beta$ -R blocking agent comprising a soluble LT- $\beta$ -R fused to a heterologous domain comprising a human immunoglobulin Fc domain, and a pharmaceutically acceptable carrier, wherein the soluble LT- $\beta$ -R comprises a functional sequence of amino acids selected from the amino acids of SEQ ID NO: 1, wherein the functional sequence comprises the LT- $\beta$ -R ligand binding domain.
- 58. The method of claim 57, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.

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- 59. The method of claim 57, wherein the pharmaceutical composition is administered to the subject at a dose of 1 mg/kg to 5 mg/kg.
- The method of claim 57, wherein the pharmaceutical composition is administered to the subject via subcutaneous administration.
- 61. The method of claim 60, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.
- 62. The method of claim 60, wherein the pharmaceutical composition is administered to the subject at a dose of 1 mg/kg to 5 mg/kg.
- 63. A method for inhibiting lymphotoxin-β-receptor (LT-β-R) signaling in a human subject having ulcerative colitis
  15 comprising administering to the human subject a pharmaceutical composition comprising an effective amount of an LT-β-R blocking agent comprising a soluble LT-β-R fused to a heterologous domain comprising a human immunoglobulin Fc domain, and a pharmaceutically acceptable carrier, wherein the soluble LT-β-R comprises a functional sequence of amino acids selected from the amino acids of SEQ ID NO:
  1, wherein the functional sequence comprises the LT-β-R ligand binding domain.
- 64. The method of claim 63, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.
  - 65. The method of claim 63, wherein the pharmaceutical composition is administered to the subject at a dose of 1 mg/kg to 5 mg/kg.
  - 66. The method of claim 63, wherein the pharmaceutical composition is administered to the subject via subcutaneous administration.
- 67. The method of claim 66, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.
  - 68. The method of claim 67, wherein the pharmaceutical composition is administered to the subject at a dose of 1 mg/kg to 5 mg/kg.
- 69. A method for inhibiting lymphotoxin-β-receptor (LT-40 β-R) signaling in a human subject having Crohn's disease comprising administering to the human subject a pharmaceutical composition comprising an effective amount of an LT-β-R blocking agent comprising a soluble LT-β-R fused to a heterologous domain comprising a human immunoglobulin 45 Fc domain, and a pharmaceutically acceptable carrier, wherein the soluble LT-β-R comprises a functional sequence of amino acids selected from the amino acids of SEQ ID NO: 1, wherein the functional sequence comprises the LT-β-R ligand binding domain.
  - 70. The method of claim 69, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.
  - 71. The method of claim 69, wherein the pharmaceutical composition is administered to the subject at a dose of 1 mg/kg to 5 mg/kg.
  - 72. The method of claim 69, wherein the pharmaceutical composition is administered to the subject via subcutaneous administration.
- 73. The method of claim 72, wherein the pharmaceutical composition is administered to the subject at a dose of about 1 mg/kg.
- 74. The method of claim 72, wherein the pharmaceutical composition is administered to the subject at a dose of 1 mg/kg to 5 mg/kg.

. . . . .

**EXHIBIT B** 



### United States Patent and Trademark Office

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Paper No.

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**COPY MAILED** 

AUG 1 4 2008

OFFICE OF PETITIONS

In re Application of

Browning et al.

DECISION ON REQUEST

Application No. 10/077,406

FOR REVIEW OF.

Filed: February 15, 2002

PATENT TERM ADJUSTMENT

Atty Docket No. BGNB191CPUSDV :

This letter is in response to the "REQUEST FOR REVIEW OF PATENT TERM ADJUSTMENT," filed on March 4, 2008. Applicants request that the initial determination of patent term adjustment under 35 USC 154(b) be reviewed for accuracy.

The request for correction of the patent term adjustment (PTA) is GRANTED to the extent indicated.

The Office has updated the PAIR screen to reflect that the correct Patent Term Adjustment at the time of the mailing of the Notice of Allowance is 493 days. A copy of the updated PAIR screen, showing the correct determination, is enclosed.

On December 6, 2007, the Office mailed the Determination of Patent Term Adjustment under 35 U.S.C. \$154(b) in the above-identified application. The Notice stated that the patent term adjustment to date is five hundred sixty (560) days.

The Office determined a patent term adjustment of five hundred sixty (560) days based on an adjustment for PTO delay of eight hundred (800) days pursuant to 35 U.S.C. 154(b)(1)(A)(i) and 37 C.F.R. \$1.703(a)(1), reduced by thirty-two (32) days, ninety-two

Application No. 10/077,406

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(92) days, twenty-two (22) days, and ninety-four (94) days of applicant delay pursuant to 37 C.F.R. \$1.704(b).

Applicants filed the instant "REQUEST FOR REVIEW OF PATENT TERM ADJUSTMENT" on March 4, 2008. Applicants assert that the patent term adjustment should be reduced by 32 days for the filing of a supplemental amendment, filed on October 26, 2007, subsequent to the filing of the Notice of Appeal filed on September 24, 2007.

Such an amendment filed after the filing of a Notice of Appeal is not a supplemental reply or other paper within the meaning of 1.704(c)(8). Accordingly, a reduction in patent term adjustment for the filing of an amendment, filed on October 26, 2007, after the filing of the Notice of Appeal filed on September 24, 2007, is not warranted.

However, it is further noted that a Notice of Abandonment was mailed on January 21, 2004. A petition to withdraw the holding of abandonment was filed on May 27, 2004. The petition to withdraw the holding of abandonment was granted on July 22, 2004.

### 37 C.F.R. § 1.704(c)(3) states:

- (c) Circumstances that constitute a failure of the applicant to engage in reasonable efforts to conclude processing or examination of an application also include the following circumstances, which will result in the following reduction of the period of adjustment set forth in § 1.703 to the extent that the periods are not overlapping:
- (4) Failure to file a petition to withdraw the holding of abandonment or to revive an application within two months from the mailing date of a notice of abandonment, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date two months from the mailing date of a notice of abandonment and ending on the date a petition to withdraw the holding of abandonment or to revive the application was filed;

Therefore, Applicants should have been assessed delay for the period from March 22, 2004 (the day after the date two months from the mailing date of a notice of abandonment) to May 27,

Application No. 10/077,406

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2004 (the date a petition to withdraw the holding of abandonment or to revive the application was filed), or 67 days.

In view thereof, the correct determination of PTA at the time of the mailing of the Notice of Allowance is **four hundred ninety-three (493) days** (800 days of PTO delay, reduced by 307 (67+32+92+22+94) days of Applicant delay).

As this letter was submitted as an advisement to the Office of an error in Applicants' favor, the Office will not assess the \$200.00 fee under 37 CFR 1.18(e). The Office thanks applicants for their good faith and candor in bringing this to the attention of the Office.

The application is being forwarded to the Office of Data Management for issuance of a patent. The patent term adjustment indicated on the patent (as shown on the Issue Notification mailed about three weeks prior to patent issuance) will include any additional adjustment accrued both for Office delay in issuing the patent more than four months after payment of the issue fee and satisfaction of all outstanding requirements, and for the Office taking in excess of three years to issue the patent (to the extent that the three-year period does not overlap with periods already accorded).

Telephone inquiries specific to this matter should be directed to Douglas I. Wood, Senior Petitions Attorney, at (571) 272-3231.

Nancy Johnson

Semior Petitions Attorney

Office of Petitions

Enclosure: Copy of adjusted PAIR calculation

Day: Wednesday

2

# PALM INTRANET

Date: 8/13/2008 Time: 19:12:05

PTA Calculations for Application: 10/077406							
Application Filing Date: 02/15/2002	PTO Delay (PTO):	800					
Issue Date of Patent:	Three Years:	0					
Pre-Issue Petitions: 0	Applicant Delay (APPL):	240					
Post-Issue Petitions: 0	Total PTA (days):	493					
PTO Delay Adjustment: -67							

File Contents History								
Number	Date	Contents Description	PTO	APPL	START			
84	08/13/2008	ADJUSTMENT OF PTA CALCULATION BY PTO		67				
74	12/06/2007	MAIL NOTICE OF ALLOWANCE						
73	12/05/2007	ISSUE REVISION COMPLETED						
72		DOCUMENT VERIFICATION						
71	12/05/2007	NOTICE OF ALLOWANCE DATA VERIFICATION COMPLETED						
70	12/04/2007	NOTICE OF ALLOWABILITY						
67	11/13/2007	CORRESPONDENCE ADDRESS CHANGE						
66	10/31/2007	DATE FORWARDED TO EXAMINER						
65	10/26/2007	SUPPLEMENTAL RESPONSE						
64	09/30/2007	DATE FORWARDED TO EXAMINER						
63	09/24/2007	AMENDMENT/ARGUMENT AFTER NOTICE OF APPEAL						
62	09/24/2007	NOTICE OF APPEAL FILED		94	59			
61	09/24/2007	REQUEST FOR EXTENSION OF TIME - GRANTED						
60	09/05/2007	CORRESPONDENCE ADDRESS CHANGE						
59	03/22/2007	MAIL FINAL REJECTION (PTOL - 326)						
58	03/19/2007	FINAL REJECTION						
57	12/15/2006	INFORMATION DISCLOSURE STATEMENT CONSIDERED						
56	12/15/2006	REFERENCE CAPTURE ON IDS						
55.7	12/15/2006	INFORMATION DISCLOSURE STATEMENT (IDS) FILED		0	53			
55		INFORMATION DISCLOSURE STATEMENT (IDS) FILED						
54	01/10/2007	DATE FORWARDED TO EXAMINER						
53	12/15/2006	RESPONSE AFTER NON-FINAL ACTION		22	51			

52	12/15/2006	REQUEST FOR EXTENSION OF TIME - GRANTED			
51	08/23/2006	MAIL NON-FINAL REJECTION			
50		NON-FINAL REJECTION			
49	06/09/2006	INFORMATION DISCLOSURE STATEMENT CONSIDERED			
48	06/09/2006	REFERENCE CAPTURE ON IDS			
47.7	06/09/2006	INFORMATION DISCLOSURE STATEMENT (IDS) FILED		0	45
47	06/09/2006	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
46	06/15/2006	DATE FORWARDED TO EXAMINER			
45		RESPONSE AFTER NON-FINAL ACTION		92	42
44	06/09/2006	REQUEST FOR EXTENSION OF TIME - GRANTED			
43	04/25/2006	CASE DOCKETED TO EXAMINER IN GAU			
42	12/09/2005	MAIL NON-FINAL REJECTION			
41	12/08/2005	NON-FINAL REJECTION			
40	08/25/2005	DATE FORWARDED TO EXAMINER			
39	08/22/2005	RESPONSE TO ELECTION / RESTRICTION FILED		32	36
38	08/02/2005	MAIL NOTICE OF INFORMAL OR NON- RESPONSIVE AMENDMENT			
37		DATE FORWARDED TO EXAMINER			
36.1	07/21/2005	INFORMAL OR NON-RESPONSIVE AMENDMENT AFTER EXAMINER ACTION			
36	07/21/2005	RESPONSE TO ELECTION / RESTRICTION FILED			
35	06/23/2005	MAIL RESTRICTION REQUIREMENT	800		-1
34	06/22/2005	REQUIREMENT FOR RESTRICTION / ELECTION			
33	06/06/2005	CASE DOCKETED TO EXAMINER IN GAU			
32	04/25/2005	CASE DOCKETED TO EXAMINER IN GAU			
31		IFW TSS PROCESSING BY TECH CENTER COMPLETE			
30	01/21/2005	CLAIMS PTO			
28	02/15/2004	PRELIMINARY AMENDMENT			
27	01/21/2005	CASE DOCKETED TO EXAMINER IN GAU			
26	11/05/2004	APPLICATION DISPATCHED FROM OIPE			
25	11/05/2004	APPLICATION IS NOW COMPLETE			
24	09/21/2004	ADDITIONAL APPLICATION FILING FEES			
23	09/21/2004	APPLICANT HAS SUBMITTED A NEW SPECIFICATION TO CORRECT CORRECTED			

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	(	CORRECTED PAPER	<u> </u>	<u> </u>	ļ
21		WITHDRAW PRE-EXAM ABANDON	<u> </u>	<u> </u>	<u> </u>
20		ABANDONMENT DURING PREEXAM PROCESSING			
19	07/21/2004	WITHDRAW PUBLICATION/PRE-EXAM ABANDON			
18	07/21/2004	MAIL-PETITION TO REVIVE APPLICATION - GRANTED			
17	05/27/2004	PETITION ENTERED			
16	05/27/2004	WORKFLOW INCOMING PETITION IFW			
15	05/27/2004	WORKFLOW INCOMING AMENDMENT IFW			
14	02/11/2003	ABANDONMENT DURING PREEXAM PROCESSING			
13	12/19/2003	CORRESPONDENCE ADDRESS CHANGE			
12		CORRESPONDENCE ADDRESS CHANGE			
11	07/18/2003	CHANGE IN POWER OF ATTORNEY (MAY INCLUDE ASSOCIATE POA)			
10		CORRECTED PAPER			
9	12/03/2002	CRF IS GOOD TECHNICALLY / ENTERED INTO DATABASE			
8	113 1 7 / 1 3/ /1 11 1 / 1	CRF DISK HAS BEEN RECEIVED BY PREEXAM / GROUP / PCT			
3	03/27/2002	IFW SCAN & PACR AUTO SECURITY REVIEW			
2	03/04/2002	IFW SCAN & PACR AUTO SECURITY REVIEW			
1	02/15/2002	INITIAL EXAM TEAM NN			

Search Another: Application#

Search

## **EXPLANATION OF PTA CALCULATION**

## **EXPLANATION OF PTE CALCULATION**

To go back, right click here and select Back. To go forward, right click here and select Forward. To refresh, right click here and select Refresh.

Back to OASIS | Home page

Filed 03/20/2009

Page 1 of 2

C 09-539 2M()

## CIVIL COVER SHEET

(Rev.1/05 DC)				<b>.</b>			Kin
I (a) PLAINTIFFS			DEFENDANTS		. C. I.		
BIOGEN IDEC INC.		1	Hon. Jon W. Duda Property and Direct	as, Under Secretor of the Unit	atary of Commerce for led States Palent and T	Intellectua rademark	Office
<b></b>			COUNTY OF RESIDEN	NCE OF FIRST LIS	TED DEFENDANT:		;
(b) COUNTY OF RESIDENCE OF FIRST LISTED P (EXCEPT IN U.S. PLAINTIFF CASES)	LAINTIFF	8888	_	(IN U.S. PLAIN	TIFF CASES ONLY) ES, USE THE LOCATION OF	THE TRAC	CT OF
(c) ATTORNEYS (FIRM NAME, ADDRESS, AND	TELEPHONE NUMBER	)	<u>[</u>				
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;Washington, D.C. 20001-4413 ;202-408-4000		1	i Assign,	• Date : 3/	/20/2009		; ;
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IV	. CASE ASSIG	GNMENT	AND NATUR	E OF SUI	ſ		
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		<u></u> 151	151 Medicare Act				
410 Antitrust 310 Airplane	Product Liability	Social Security:		Any nature of suit from any category may be selected for this category of case			
320 Assault,	Libel & Slander		HIA ((1395ff) Black Lung (923)		assignment.		
340 Marine	Imployers Liability	863 DIWC/DIWW (405(g) 864 SSID Title XVI			*(If Antitrust, then A governs)*		
345 Marine F	roduct Liability	865	RSI (405(g)				
355 Motor Vo	ehicle Product Liabilit	by Other St	Agricultural Acts			-	
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210 Land Condemnation 220 Foreclosure	422 Appeal 28 U 423 Withdrawa		610 Agricul	ture. Food &Drug	470 Racketeer In	ganization	
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			630 Liquor	Laws	810 Selective Se	rvice	- · · ·
290. All Other Real Property 540 Mandami 550 Civil Rigi		ts	640 RR & 7	Regs	850 Securities/C Exchange		
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371 Truth in Lending Property Rights			690 Other		900 Appeal of fe		
380 Other Personal Property Damage 820 Cc 8385 Property Damage Product Liability 830 Pa		5			under equa		
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	870 Taxes (US p	plaintiff or	450 Comme Rates/et		review or Priva	acy Act	
	871 IRS-Third		460 Deports				

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225 G 530 Habe	as Corpus-General on/Vacate Sentence	O H. Employment Discrimination  442 Civil Rights-Employment (criteria: race, gender/sex, national origin, discrimination, disability age, religion, retaliation)  *(If pro se, select this deck)*	O I. FOLA/PRIVACY ACT  895 Freedom of Information Act 890 Other Statutory Actions (if Privacy Act)	O J. Student Loan  152 Recovery of Defaulted Student Loans (excluding veterans)
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VI. CAUSE (	ing from State Court  OF ACTION (CITE	Appellate Court 0 4 Reinst or Reo  THE U.S. CIVIL STATUTE UNDER WE		6 Multi district Litigation  7 Appeal to District Judge from Mag. Judge  BRIEF STATEMENT OF CAUSE.)
VII. REQUES		CHECK IF THIS IS A CLASS ACTION UNDER F R C P. 23	DEMAND \$ JURY DEMAND:	Check YES only if demanded in comparing
VIII. RELAT	ED CASE(S)	(See instruction) YES	NO If yes, please com	plete related case form.
	L20,2009s		TING CIVIL COVER SHEET JS-44 Civil Cover Sheet	plagen
law, except as prov Court for the purpo	ided by local rules of cou se of initiating the civil d	he information contained herein neither rep int. This form, approved by the Judicial Cor	laces nor supplements the filings and service of free conference of the United States in September 197 et is submitted to the Clerk of Court for each	74, is required for the use of the Clerk of
I.			EFENDANT (b) County of residence Use 11 as but not of Washington, D.C, and 99999 If	
IIL	CITIZENSHIP OF PR	UNCIPAL PARTIES: This section is compl	eted <u>only</u> if diversity of citizenship was select	ed as the Basis of Jurisdiction under Section
IV.			ent of a judge to your case will depend on the only <u>one</u> category You <u>must</u> also select <u>one</u>	

Because of the need for accurate and complete information, you should ensure the accuracy of the information provided prior to signing the form

CAUSE OF ACTION Cite the US Civil Statute under which you are filing and write a brief statement of the primary cause

RELATED CASES, IF ANY: If you indicated that there is a related case, you must complete a related case form, which may be obtained from the Clerk's

VI.

VIII.